

1 Inactivation of *Cronobacter* spp (*Enterobacter sakazakii*) in infant formula using
2 lactic acid, copper sulfate and monolaurin
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1 **Abstract**

2 *Cronobacter* spp. (*Enterobacter sakazakii*) is an opportunistic pathogen that poses a health risk
3 to neonates. *E. sakazakii* is a known contaminant of infant formula (IF), and has been associated
4 with cases of necrotizing enterocolitis and infant meningitis. The purpose of this study was to
5 investigate the use of lactic acid (LA) (0.1, 0.2 and 0.3% v/v) and copper sulfate (10, 50, and 100
6 ppm), as natural antimicrobials against *E. sakazakii* in IF stored at room temperature for 6 h.
7 Also, the effect of monolaurin (1000, 2000, and 3000 $\mu\text{g ml}^{-1}$) suspended into tween-80 (TW) or
8 dissolved in ethanol was investigated. Reconstituted infant formula (RIF) and powdered infant
9 formula (PIF) were inoculated with five strains of *Cronobacter* spp (*E. sakazakii*) at the levels of
10 ca 1×10^6 CFU ml^{-1} and 1×10^3 CFU g^{-1} , respectively. LA at 0.1% v/v only delayed the growth
11 of *E. sakazakii* in RIF. LA at 0.2% v/v had a bacteriostatic effect on *E. sakazakii* growth,
12 whereas 0.3% LA v/v resulted in ca 3 logs reduction. Copper sulfate showed a dose-dependent
13 effect on *E. sakazakii* growth, where 10 ppm did not result in a noticeable reduction in *E.*
14 *sakazakii* count, however, 50 ppm and 100 ppm, elicited ~ 1 and 2 logs reduction at the end of
15 the storage period, respectively. Surprisingly, the combination of 0.2% LA and 50 ppm copper
16 sulfate resulted in a complete elimination of the organism from RIF by the end of the storage
17 time. Monolaurin exhibited a slight inhibitory activity against *E. sakazakii* in RIF and the effect
18 was more pronounced when ethanol was used to deliver monolaurin in RIF. Approximately, 1.5
19 logs difference between the control and the monolaurin-treated samples was observed at the end
20 of the storage period (6 h). The use of the synergistic interactive combination of LA and copper
21 sulfate could be beneficial to control *E. sakazakii* in the infant formula industry.

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23

1 1. Introduction

2 *Enterobacter sakazakii* is an emerging human pathogen that contaminates powder infant
3 formula (PIF) (Iversen and Forsythe, 2003), and has been associated with various cases of
4 neonatal meningitis (Bar-Oz et al., 2001; CDC, 2002). The microorganism was first implicated
5 in neonatal meningitis in 1958. Although the incidence rate of the infection is low, yet mortality
6 rate ranges from 40-80% among infected infants, and those who survive the infection usually
7 develop irreversible neurological sequelae (Bowen and Braden, 2006). Recently, *Cronobacter*
8 has been proposed as a new genus to replace *E. sakazakii* as a single species. *Cronobacter*
9 currently encompasses six different species that are potentially pathogenic to neonates (Iversen et
10 al, 2007).

11 Contaminated powdered infant formula (PIF) is a recognized source of *E. sakazakii* as
12 demonstrated in a survey conducted by Muytjens et al. (1988), where the organism was detected
13 in about 14% of 141 samples of PIF, which came from 35 countries. The problem with infant
14 formula is that it is not sterile, and its nutritional characteristics provide excellent conditions for
15 bacterial growth following reconstitution (Drudy et al., 2006, Mullane et al., 2006). *E. sakazakii*
16 does not survive the heat of pasteurization used in the production of infant formula, therefore, the
17 organism mostly emanates from the processing environment or from heat-sensitive ingredients
18 added after pasteurization despite rigorous hygienic practices (Kandhai et al., 2004). Therefore,
19 an end-product control measure should be available to prevent further presence of the organism
20 in the formula.

21
22 Preservatives are commonly used in the production of food to ensure safety and stability,
23 Nonetheless, there is a growing awareness of consumers about food-related diseases and

1 mounting demand to produce pathogen free food with minimal use of artificial preservatives
2 (Brul and Coote, 1999). Hence, the food industry has turned to the use of natural ingredients to
3 ensure the safety of the products. Many research papers have been conducted on survival
4 conditions and inactivation of *E. sakazakii* (Breeuwer et al., 2003; Edelson-Mammel et al., 2004;
5 Perez et al., 2007; Gurtler and Beuchat, 2007; Arku, 2008; Osaili et al., 2008), but little attention
6 was given to the use of antimicrobials that are perceived as more "natural" to inhibit *E. sakazakii*
7 in infant formula and baby foods.

8 Lactic acid (LA) is a weak-organic acid that has been widely used to control growth of
9 spoilage and pathogenic bacteria in food. LA also acts as a permeabilizer of the Gram-negative
10 bacterial outer membrane, and this is of considerable consequence, because the weak acid could
11 be used to potentiate the antimicrobial activity of other antimicrobials against Gram-negative
12 bacteria (Alakomi et al., 2000).

13 All living organisms including bacteria require copper at low concentrations. Copper is
14 used as cofactor for metalloproteins and enzymes, but at high concentrations, inhibition of
15 growth in bacteria can be accrued as well as intoxication of most microorganisms (Faundez et
16 al., 2004). Additionally, fatty acids and their esters may pose antimicrobial activity against
17 certain foodborne pathogens. The monoglyceride of lauric acid (monolaurin) demonstrated a
18 considerable antibacterial activity against *Listeria monocytogenes* in beef emulsion and hotdog
19 and against *E. coli* O157:H7 in UHT milk (Branen et al., 2004; Mbandi et al., 2004).

20 The objective of this study was to investigate the effect of both lactic acid and copper
21 sulfate alone and in combination on the inactivation of *Cronobacter* spp (*Enterobacter*
22 *sakazakii*) in infant formula. Additionally, the effect of monolaurin against *E. sakazakii* in infant
23 formula was also explored.

1

2 **2. Materials and Methods**

3 *2.1 Bacterial Strains*

4 Five strains of *E. sakazakii* (*Cronobacter* spp) (*C. sakazakii* (ATCC 29004, ATCC
5 12868, ATCC 29544), *C. muytjensii* ATCC 51329, and *Cronobacter* isolate FSM 287) were used
6 in this study. The strains were obtained from the culture collection in the Department of Food
7 Science and Human Nutrition, at Washington State University. Strains were kept refrigerated in
8 tryptic soy agar (TSA) (Difco, Becton Dickinson, Spark, MD) slants, prior to the experiment, the
9 strains were individually inoculated into sterilized test tubes containing 10 ml of Brain Heart
10 Infusion Broth (BHI) broth (Difco), and incubated at 37 °C for 24 h. After incubation, the five
11 strains were transferred to a 50-ml sterilized centrifugal tube, creating a bacterial cocktail. The
12 tubes were then centrifuged at room temperature for 15 min at 4000 rpm (Fisher Scientific,
13 Fisher AccuSpin™ Model 400 Benchtop Centrifuge, Pittsburgh, PA, USA) to harvest bacterial
14 cells. The pellet was re-suspended into 9 ml of sterile 0.85% saline solution and centrifuged as
15 described earlier. The supernatant was discarded, and the pellet was re-suspended into 9 ml of a
16 sterile 0.1% peptone water solution, to give an approximate concentration of 1×10^9 CFU ml⁻¹.
17 Ten-fold serial dilutions using 0.1% peptone water were carried out to obtain the required
18 concentration of inoculum to be used for inoculation of the RIF milk.

19

20 *2.2 Inoculation of PIF milk with E. sakazakii*

21 Milk-based infant formula was bought from a local grocery store. *E. sakazakii* cells were
22 desiccation-stressed by inoculating 24-h old cultures of the cocktail of the five strains of *E.*
23 *sakazakii* (*Cronobacter* spp) listed earlier (section 2.1) onto the surface of the PIF. The PIF was

1 placed into 1000 ml sterile beaker. The inoculum (100 μ l) was sprinkled onto the formula in a
2 drop-wise manner. After inoculation, the IFM with the inoculum was mixed vigorously with a
3 sterile spatula for 3 min. The inoculated formula was kept in a sterile beaker for three months at
4 room temperature in a desiccator. The water activity (a_w) of the powdered IFM was measured
5 before and after the inoculation at day zero, and after 3 months of storage at room temperature in
6 a desiccator using an Aqua lab water activity meter (Model 3TE, Aqualab, Pullman, WA). The
7 initial level of *E. sakazakii* was determined by the overlay method (spread plating on TSA (2 h
8 incubation at 37 °C) followed by applying another layer of VRBL and incubation at 37 °C for
9 additional 22 h) (Al-Holy et al., 2008). The initial level of *E. sakazakii* was ca 1×10^6 CFUg⁻¹.
10 The desiccated cells in PIF were further diluted with PIF to obtain a final concentration of ca
11 1000 CFUg⁻¹.

12

13 *2.3 Inoculation of reconstituted infant formula (RIF) with E. sakazakii*

14 Commercial infant milk powder was reconstituted with sterile distilled water according
15 to the manufacturer's instructions. One scoop (approximately 7.7 grams) was mixed with 60 ml
16 of water under aseptic conditions and mixed with a sterile spatula. Ten milliliters of the RIF were
17 placed into a sterile test tube, and inoculated with 100 μ l of the inoculum solution to give
18 approximately 1×10^6 CFU ml⁻¹ of RIF

19

20 *2.4 Effect of copper sulfate and lactic acid on the growth of E. sakazakii in RIF*

21 The effect of copper sulfate penta-hydrate (Fisher Scientific, Pittsburgh, PA) and LA on the
22 growth of *E. sakazakii* inoculated into RIF was studied. Concentrations of 10, 50, and 100 ppm
23 of copper sulfate were used. The effect of 0.1%, 0.2% and 0.3% v/v of LA (Acros Organics, NJ,

1 USA) on the growth of *E. sakazakii* in RIF was also investigated. In a separate experiment, the
2 effect of LA (0.2% v/v) or copper sulfate (50 ppm) alone or in combination on the growth of *E.*
3 *sakazakii* in 10-ml samples of RIF was studied. RIF inoculated with *E. sakazakii* but without
4 treatment, was used as a control. All treatments were carried out in triplicate.

5

6 *2.5 Effect of lactic acid and copper on the growth of E. sakazakii in PIF*

7 An aliquot of 800 µl of the 10% v/v solution of LA were added to 15.5 g of PIF milk, the
8 powder was reconstituted according to manufacturer's instruction and mixed with a sterile
9 spatula to make a final concentration of 0.2% v/v of LA. For the copper treatment, copper sulfate
10 powder was added to inoculated PIF and rehydrated according to manufacturer's instruction to
11 make a final concentration of copper equivalent to 50 ppm. The combined effect of 0.2% v/v of
12 lactic acid and 50 ppm of copper on the growth of *E. sakazakii* in PIF was also studied. *E.*
13 *sakazakii*- inoculated but untreated PIF was used as a control. All treatments were carried out in
14 triplicate.

15

16 *2.6. Effect of monolaurin on the growth of E. sakazakii in RIF*

17 The effect of monolaurin (Tokyo Chemical Industry, Tokyo, Japan) at different concentrations
18 (1000, 2000, and 3000 µg ml⁻¹) on the growth of *E. sakazakii* was investigated. Stock solutions
19 of monolaurin were prepared either as a suspension in tween 80 (TW) (Fisher Scientific) or as a
20 solution in 96% ethanol (Fisher Scientific), thereafter, 100 µl of monolaurin solutions were
21 added to RIF and the number of *E. sakazakii* was determined at 0, 2, 4 and 6 h. Untreated RIF
22 (control) or samples with 100 µl of TW or ethanol without monolaurin were used for comparison
23 purposes.

1

2 2.7 Enumeration of *E. sakazakii* survivors

3 Ten-fold serial dilutions in 0.1% peptone water were performed at intervals of 0, 2, 4 and 6 h for
4 the RIF and the PIF. The enumeration of *E. sakazakii* was performed using a minor modification
5 of the overlay method reported by Al-Holy et al. (2008). All samples were spread plated on TSA,
6 instead of TSA supplemented with 0.1% (w/v) of sodium pyruvate. The plates were incubated
7 for 2 hours at 37 °C, and then a thin layer of violet red bile agar (VRBA) (Difco) was overlaid
8 onto TSA. The plates were incubated for an additional 22 hours at 37 °C. This method was
9 specifically used to enumerate intact and injured *E. sakazakii* cells.

10 2.8 Statistical Analysis

11 At least three independent replicate trials were conducted and standard deviations were determined. *E.*
12 *sakazakii* counts were log transformed and data were analyzed with a computer software package (SAS
13 Institute, Cary, NC) using analysis of variance and Fisher's least significant difference (LSD) test for
14 mean separations ($P \leq 0.05$).

15

16 3. Results and Discussion

17 The effect of different concentrations of LA on the growth of *E. sakazakii* in RIF is
18 shown in Fig. 1. Lactic acid (0.1% v/v) apparently does not have an inhibitory effect on the
19 growth of the microorganism, especially during the first four hours of incubation at room
20 temperature. Nonetheless, the number of *E. sakazakii* (6.70 logs) was significantly ($P \leq 0.05$)
21 lower compared to the control (7.56 logs) at the end of the storage period. LA at 0.2% v/v
22 imparted a bacteriostatic effect on the growth of *E. sakazakii*. Only a subtle increase (0.14 logs)
23 in the number of *E. sakazakii* was noticed after 6 h of storage at room temperature, which was

1 significantly ($P \leq 0.05$) lower compared to the control and 0.1% v/v LA. In contrast, LA at 0.3%
2 v/v elicited the most pronounced bactericidal effect against *E. sakazakii* compared to the other
3 concentrations (0.1 and 0.2% v/v). A noticeable gradual reduction in the numbers of the
4 organism was noticed during the whole storage period. In this treatment, the numbers of *E.*
5 *sakazakii* were significantly ($P \leq 0.05$) lower compared to the other LA concentrations (0.1 and
6 0.2% v/v). LA is a weak-organic acid, which presents antimicrobial activity and has been used
7 as an antimicrobial agent in foods. LA in the un-dissociated form can penetrate the cytoplasmic
8 membrane, which results in reduced intracellular pH and disruption of the transmembrane proton
9 motive force, which accounts for a significant part of its antibacterial action. (Alakomi et al.,
10 2000; Brul and Coote, 1999). Besides its antimicrobial activity, LA is a strong outer membrane
11 disintegrating agent. LA permeabilizes the outer membrane of Gram negative bacteria, a
12 property that could help other antimicrobials penetrate bacterial cells, and produce a toxic effect.
13 The permeabilizing properties of LA make it both an antimicrobial, and a possible potentiator for
14 other antimicrobial substances (Alakomi et al., 2000).

15

16 The antibacterial activity of copper sulfate against *E. sakazakii* in RIF is shown in Fig. 2.
17 Three different levels (10, 50, and 100 ppm) of copper sulfate were used. A dose-dependent
18 response was shown. As the concentration of copper sulfate increased, the extent of inhibition
19 accordingly increased. At a concentration of 10 ppm, a slight but a significant decrease in the
20 number of *E. sakazakii* cells was observed after 2 h, but a re-growth occurred and the number of
21 *E. sakazakii* reached about 6.4 logs after 6 h. At 50 ppm of copper sulfate, a slight but steady
22 decrease in the number of *E. sakazakii* cells was observed during the 6-h storage period. Copper
23 sulfate at 100 ppm exerted the most remarkable antimicrobial activity against *E. sakazakii*, where

1 about 1.8 logs reduction at the end of the storage period was noticed. The toxic effect of copper
2 on bacteria is possibly attributed to displacement of essential ions, hence inactivating enzymes
3 and obstructing functional groups of proteins, producing hydroperoxide free radicals and
4 affecting membrane integrity (Nies, 1999).

5 The antimicrobial effect of the combination of LA and copper sulfate against *E. sakazakii*
6 was investigated. Fig. 3 shows the effect of either 0.2% LA or copper sulfate (50 ppm) alone or
7 in combination against *E. sakazakii* in RIF. Interaction between antimicrobials can either be
8 synergistic, additive, or antagonistic in nature. As shown in Fig. 3, a synergistic effect of LA and
9 copper sulfate against *E. sakazakii* was observed. More than 5-log reduction of the organism was
10 obtained after 2 h, and a total elimination was rendered at the end of the storage period. In
11 comparison, either of LA or copper sulfate treatment alone only resulted in < 1 log reduction of
12 *E. sakazakii* and the number of *E. sakazakii* in the untreated samples (control) increased to about
13 7.6 logs after 6 h. The practical validity of the combined treatment (LA and copper sulfate)
14 against *E. sakazakii* was further explored in PIF. Samples of PIF milk were seeded with *E.*
15 *sakazakii* and kept under dry conditions at room temperature to simulate the dry stress conditions
16 that *E. sakazakii* may encounter under normal storage conditions. The water activity of the
17 inoculated PIF milk after 3 months of storage was 0.21. As shown, in Fig. 4, after applying the
18 combined treatment (0.2% LA and 50 ppm copper sulfate), a synergistic effect was obtained,
19 where a complete elimination of the organism was obtained after 2 h and till the end of the
20 storage period. In contrast, the cells increased slightly but significantly ($P \leq 0.05$) in the LA
21 treatment alone (~0.5 log increase) and by about 0.15 logs in the copper sulfate treatment. The
22 numbers in the untreated samples (control) increased by about 1 log after 6 h of storage at room
23 temperature.

1
2 Metallic copper surfaces inhibited the growth of *Salmonella enterica* and *Campylobacter*
3 *jejuni*, two of the most common foodborne pathogen, suggesting its possible use as a bacterial
4 inhibitor in food processing (Faundez et al., 2004). But the ions have to enter the cell in order to
5 have a physiological or toxic effect (Faundez et al., 2004). It is possible that at concentrations as
6 low as 10 and 50 ppm, no enough ions are penetrating the cell membrane to produce a toxic
7 effect. The permeabilizer properties of LA could have facilitated the entry of copper ions into
8 the *E. sakazakii* cells and produce the toxic effect, as well as producing a toxic effect itself. The
9 combination of inhibitory activity and disruption of the outer membrane of *E. sakazakii* by LA
10 may have lead to potentiation of the antimicrobial activity of copper sulfate and consequently
11 resulted in the inhibition of *E. sakazakii* growth. Furthermore, the toxic effect of copper on
12 microorganisms can occur due to displacement of essential metals from their native binding sites
13 or by ligand interactions. Additionally, copper may also change the conformational structure of
14 nucleic acids and proteins, and interfere with oxidative phosphorylation and osmotic balance
15 (Borkow and Gabbay, 2005). The combination of copper sulfate and LA was effective in
16 inhibiting the growth of *Salmonella* spp. and *Escherichia coli* O157:H7. LA and copper sulfate
17 were added alone and in combination to laboratory medium and carrot juice inoculated with the
18 pathogens. A significant inhibition of the pathogens was only obtained when a combination of
19 both was used (Ibrahim et al., 2008).

20 The impact of different concentrations of monolaurin (1000, 2000 and 3000 $\mu\text{g ml}^{-1}$) as a
21 suspension in tween-80 (TW) (Fig. 5A) or dissolved in absolute ethanol (Fig. 5B) on the growth
22 of *E. sakazakii* in RIF stored at room temperature was investigated. Surprisingly, TW containing
23 no monolaurin posed a slight (0.3 log) but significant ($P \leq 0.05$) reduction in the count of *E.*

1 *sakazakii* compared to the control after 2 h of storage at room temperature. The effect of
2 monolaurin in TW at all of the tested levels was comparable to TW alone, where a slight
3 inhibition of *E. sakazakii* growth took place during the first two hours of storage followed by an
4 increase in *E. sakazakii* count till the end of storage period (6 h). Nonetheless, there was a 1-log
5 difference between the control form one hand and the TW with or without monolaurin on the
6 other hand throughout the 6-h storage period, revealing the inhibitory activity of TW on *E.*
7 *sakazakii* growth. Fig. 5B presents the effect of manolaurin dissolved in ethanol on the growth of
8 *E. sakazakii* in RIF. Monolaurin at different levels exhibited an inhibitory activity against *E.*
9 *sakazakii* compared to the control. At the end of the storage period, there was about 1.5 log
10 difference between monolaurin treatments and the control. Although ethanol posed an inhibitory
11 activity against *E. sakazakii* in RIF, the extent of inhibition was more pronounced in the
12 monolaurin treatments. Increasing monolaurin concentration from 1000 to 3000 $\mu\text{g ml}^{-1}$ did not
13 result in further inhibition. Additionally, it is worthwhile to mention that monolourin in the form
14 of TW suspension exhibited less inhibitory activity against *E. sakazakii* (Fig. 5 A) compared to
15 monolaurin presented soluble in ethanol (Fig. 5 B).

16 Monolaurin is a monoglyceride of lauric acid that has been shown to have antimicrobial
17 properties. Monolaurin was shown to pose some inhibitory activity against *E. coli* O157:H7 in
18 UHT milk (Branen and Davidson, 2004). In other study, monolaurin and lauric acid at the level
19 of 500 $\mu\text{g g}^{-1}$ exhibited a remarkable antilisterial activity in hotdog emulsion stored at 5 °C
20 (Mbandi et al., 2004). Additionally, a combination of monolaurin and lactoperoxidase system
21 resulted in a synergistic inhibitory activity against *E. coli* O157:H7 and *Staphylococcus aureus*
22 that was not achievable when using either agent alone (McLay et al., 2002). The mechanism of
23 inhibition of bacteria by monolaurin is not known, but the cell membrane is thought to be the

1 target. Monoalurin may disrupt membrane integrity and consequently interferes with membrane
2 activities such as transport of amino acids, resulting in cell starvation (Kabara, 1993)

3
4 LA and copper sulfate showed a noticeable inhibitory activity against the growth of *E.*
5 *sakazakii* in RIF. Using a combination of sub-lethal concentrations of lactic acid (0.2%) and
6 copper sulfate (50 ppm) resulted in a synergistic inhibitory activity against *E. sakazakii* in RIF
7 stored at room temperature; where a complete elimination of inoculated strains of *E. sakazakii*
8 was obtained. Additionally, monolaurin showed a slight but important inhibitory activity against
9 *E. sakazakii*. Using monolaurin in the form of ethanol solution was more effective compared to a
10 suspension of monolaurin in TW. The use of the synergistic interactive combination of lactic
11 acid and copper sulfate could be beneficial to control *Cronobacter* spp (*E. sakazakii*) in the
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1 **Fig. 1.** Effect of lactic acid (LA) concentration on the growth of *Enterobacter sakazakii* (Log
2 CFU ml⁻¹) in reconstituted infant formula stored at room temperature (21 °C).

3 **Fig. 2.** Effect of copper sulfate (Cu) concentration on the growth of *Enterobacter sakazakii* (Log
4 CFU ml⁻¹) in reconstituted infant formula stored at room temperature (21 °C).

5 **Fig. 3.** The combined effect of lactic acid (0.2% LA) and copper sulfate (50 ppm Cu) on the
6 growth of *Enterobacter sakazakii* (Log CFU ml⁻¹) in reconstituted infant formula stored at room
7 temperature (21 °C).

8 **Fig. 4.** The combined effect of lactic acid (0.2% LA) and copper sulfate (100 ppm Cu) on the
9 growth of *Enterobacter sakazakii* (Log CFU g⁻¹) in powdered infant formula (PIF) stored at
10 room temperature (21 °C). The PIF was reconstituted and kept at room temperature for a 6-h
11 period to determine *Enterobacter sakazakii* count.

12 **Fig. 5.** Effect of monolaurin (µg ml⁻¹) suspended in tween 80 (TW) (**A**) or dissolved in absolute
13 ethanol (Et-OH) (**B**) on the growth of *Enterobacter sakazakii* (Log CFU ml⁻¹) in reconstituted
14 infant formula stored at room temperature (21 °C).

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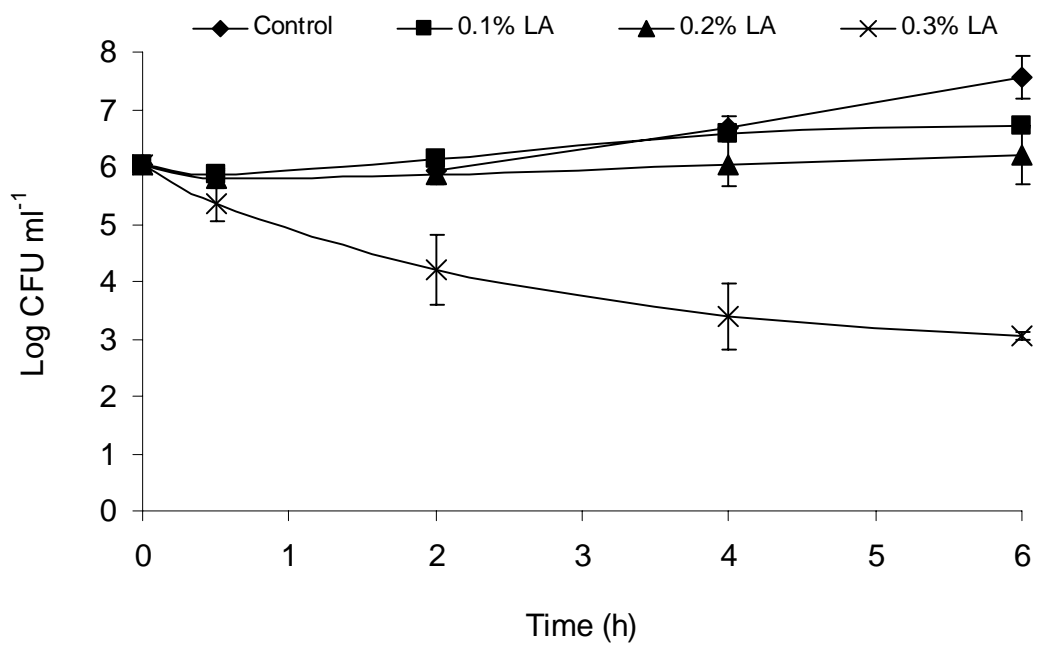
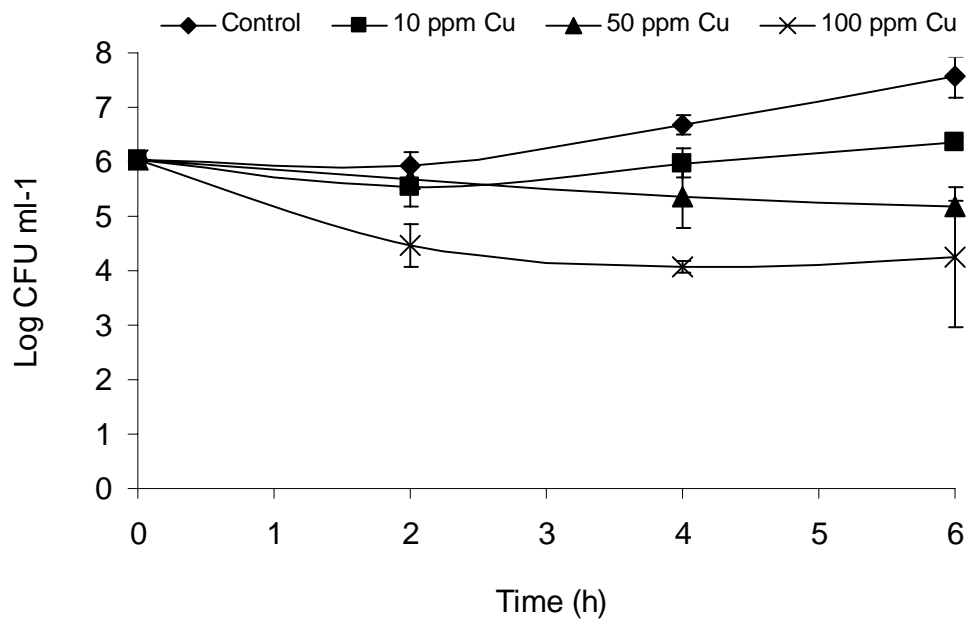


Fig. 1.

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3 **Fig. 2.**

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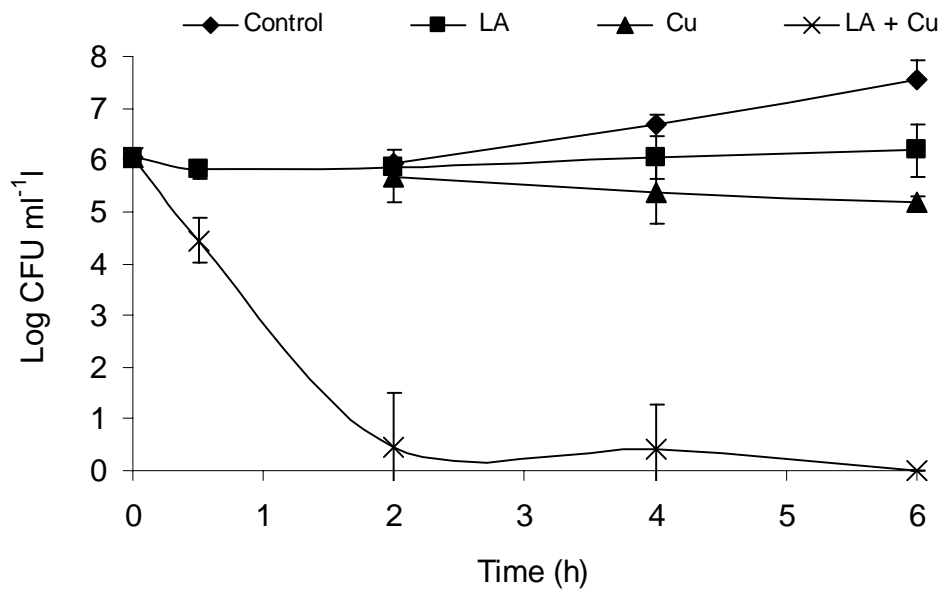


Fig. 3.

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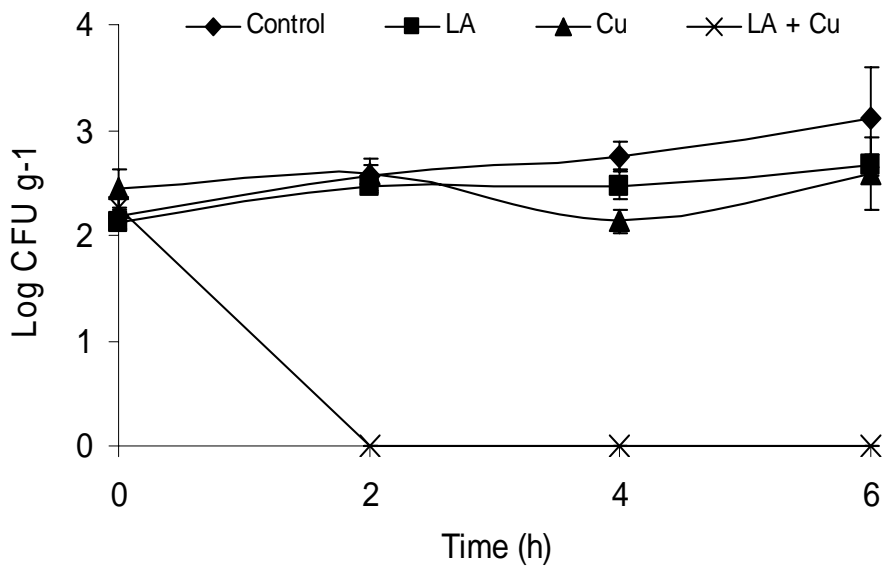
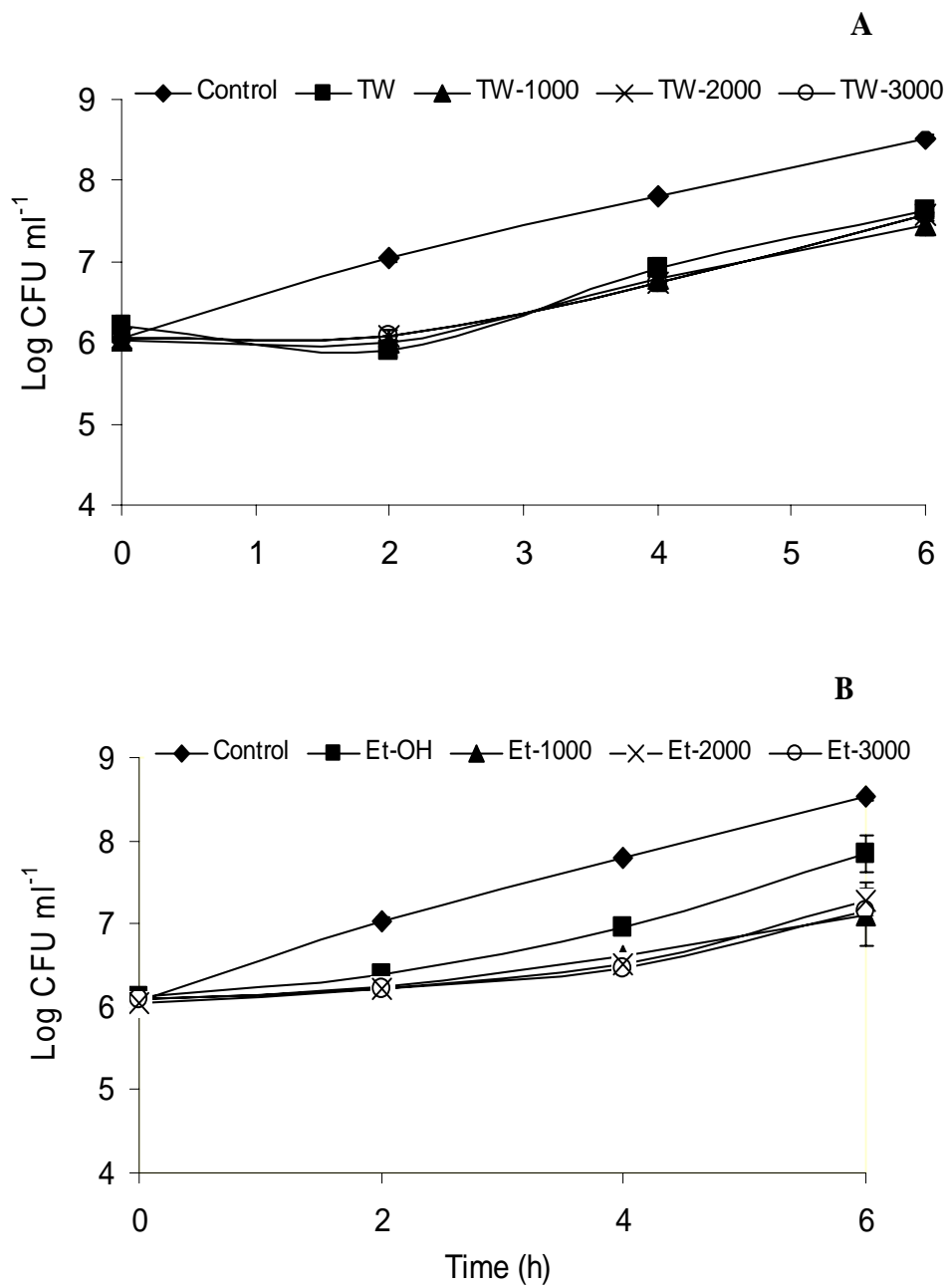


Fig. 4.

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Fig. 5.