

# Partial wave analysis for elastic scattering

- first consider the expansion of plane waves  $e^{i\vec{k}\cdot\vec{r}}$  in terms of spherical harmonics  $Y_{lm}$ . from chapter 6, we found that any plane wave can be expressed as

$$e^{i\vec{k}\cdot\vec{r}} = \sum_{l=0}^{\infty} \sum_{m=-l}^{+l} c_{lm} j_l(kr) Y_l^m(\theta, \phi)$$

now choosing the  $\vec{k}$  in the  $z$ -direction, the wave function  $e^{i\vec{k}\cdot\vec{r}}$  is rotationally symmetric about this axis. i.e. it does not depend on  $\phi \Rightarrow m=0 \Rightarrow Y_l^0 \rightarrow \sqrt{\frac{2l+1}{4\pi}} P_l(\cos\theta)$

(well behaved function at zero and infinity)

no rotationally symmetric about this axis. i.e. it does not depend on  $\phi \Rightarrow m=0 \Rightarrow Y_l^0 \rightarrow \sqrt{\frac{2l+1}{4\pi}} P_l(\cos\theta)$

$$\Rightarrow e^{i\vec{k}\cdot\vec{r}} = \sum_{l=0}^{\infty} a_l j_l(kr) P_l(\cos\theta) ; a_l = (2l+1) i^l$$

$$= \sum_{l=0}^{\infty} (2l+1) i^l j_l(kr) \underbrace{P_l(\cos\theta)}_{\text{Legendre Polynomials } (m=0)}$$

let us try to decompose this solution into incoming and outgoing spherical waves.

as  $r \rightarrow \infty$   $j_l(kr) \approx \frac{\sin(kr - l\pi/2)}{kr} \approx \frac{1}{kr} \left[ \frac{e^{i(kr - l\pi/2)} - e^{-i(kr - l\pi/2)}}{2i} \right]$

$$\Rightarrow e^{i\vec{k}\cdot\vec{r}} = e^{i\vec{k}\cdot\vec{r}} = \sum_l (2l+1) i^l \frac{1}{kr} \left[ \frac{e^{i(kr - l\pi/2)} - e^{-i(kr - l\pi/2)}}{2i} \right] P_l(\cos\theta)$$

$$= \frac{e^{i\vec{k}\cdot\vec{r}}}{2kr} \sum_l (2l+1) i^l \left[ \frac{e^{-i(kr - l\pi/2)} - e^{i(kr - l\pi/2)}}{-2i} \right] P_l(\cos\theta)$$

$$e^{i k r \cos \theta} = \frac{c}{2kr} \sum_l (2l+1) i^l e^{-il\pi/2} \left[ e^{il\pi/2} e^{-i(kr-l\pi/2)} - e^{i kr} \right] P_l(\cos \theta)$$

where  $e^{-il\pi/2} = (e^{-i\pi/2})^l = (-i)^l = \left(\frac{1}{i}\right)^l = \frac{1}{i^l}$

$$\Rightarrow e^{i k r \cos \theta} = \frac{c}{2kr} \sum_l (2l+1) P_l(\cos \theta) \left[ \underbrace{(-1)^l e^{-i kr}}_{\text{incoming}} - \underbrace{e^{i kr}}_{\text{outgoing}} \right]; \text{ where } e^{il\pi/2} = (-1)^l$$

Now in the presence of a radial potential  $V(r)$ , only the phase of the outgoing wave will be modified. This is from

flux conservation.

$$\Rightarrow e^{i \vec{k} \cdot \vec{r}} = e^{i k r \cos \theta} = \frac{c}{2kr} \sum_l (2l+1) P_l(\cos \theta) \left[ (-1)^l e^{-i kr} - S_l(k) e^{i kr} \right] \quad (1)$$

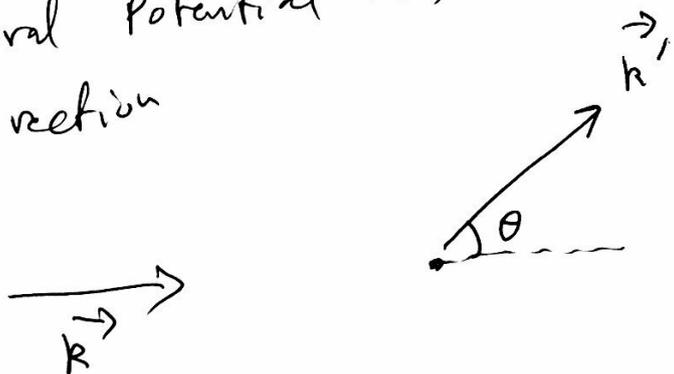
where the standard form of  $S_l(k)$  is  $e^{2i\delta_l(k)}$

$\delta_l$ : phase shift

Let us call the last eq<sup>n</sup> as eq<sup>n</sup> # (1) as I will refer to it later.

scattering amplitude and phase shift:

consider an incident wave  $e^{i \vec{k} \cdot \vec{r}}$  that is being scattered by a central potential  $V(r)$ . let us choose again the  $\vec{k}$  in the  $z$ -direction



after the scattering has taken place, the wave function at large  $r$  is given by

$$\Psi(\vec{r}) = e^{i\vec{k} \cdot \vec{r}} + f(\vec{k}, \vec{k}') \frac{e^{ikr}}{r} ; f(\vec{k}, \vec{k}') = f(\theta, \phi)$$

the again both the incident wave and the scattered wave are rotationally symmetric about the  $z$ -axis  $\Rightarrow$  the scattering amplitude does not depend on  $\phi$

$\Rightarrow f(\theta, \phi) = f(\theta)$  Azimuthal symmetry  
 $f(\theta)$  can be expanded into a series of Legendre polynomials

$$f(\theta) = \sum_{l=0}^{\infty} f_l (2l+1) P_l(\cos\theta) ; f_l : l^{\text{th}} \text{ partial wave amplitude}$$

lot of physics in this quantity !!!

$$\therefore \Psi(\vec{r}) = \sum_{l=0}^{\infty} (2l+1) i^l j_l(kr) P_l(\cos\theta)$$

$$+ \frac{e^{ikr}}{r} \sum_{l=0}^{\infty} f_l (2l+1) P_l(\cos\theta)$$

$$= \sum_{l=0}^{\infty} (2l+1) P_l(\cos\theta) \left[ i^l j_l(kr) + f_l \frac{e^{ikr}}{r} \right]$$

for large  $r$ ,  $j_l(kr) \approx \frac{\sin(kr - l\pi/2)}{kr}$

$$i^l j_l(kr) \approx i^l \frac{\sin(kr - l\pi/2)}{kr} = \frac{i^l}{kr} \frac{e^{i(kr - l\pi/2)} - e^{-i(kr - l\pi/2)}}{2i}$$

$$= \frac{i^l}{2i} \underbrace{e^{-i(l\pi/2)}}_{\downarrow \frac{1}{(i)^l}} \left[ e^{ikr} - \underbrace{e^{i(l\pi)}}_{\downarrow (-1)^l} e^{-ikr} \right] \frac{1}{kr}$$

$$i^l j_l(kr) = \frac{1}{2i^l kr} \left[ e^{i^l kr} - (-1)^l e^{-i^l kr} \right]$$

$$= \frac{i^l}{2kr} \left[ (-1)^l e^{-i^l kr} - e^{i^l kr} \right]$$

$$\Rightarrow \Psi(\vec{r}) = \sum_{l=0}^{\infty} (2l+1) P_l(\cos\theta) \left[ \frac{i^l}{2kr} \left( (-1)^l e^{-i^l kr} - e^{i^l kr} \right) + f_l \frac{e^{i^l kr}}{r} \right]$$

$$= \frac{i^l}{2kr} \sum_{l=0}^{\infty} (2l+1) P_l(\cos\theta) \left[ (-1)^l e^{-i^l kr} - e^{i^l kr} - 2i^l k f_l e^{i^l kr} \right]$$

$$= \frac{i^l}{2kr} \sum_{l=0}^{\infty} (2l+1) P_l(\cos\theta) \left[ \underbrace{(-1)^l e^{-i^l kr}}_{\text{incoming}} - \underbrace{(1 + 2i^l k f_l) e^{i^l kr}}_{\text{outgoing}} \right]$$

comparing this eq<sup>n</sup> with eq<sup>n</sup> (1), both solutions must have the same scattering amplitude where

$$\Rightarrow S_l(k) = e^{2i\delta_l} = 1 + 2i^l k f_l \quad ; \quad \delta_l: \text{phase shift which is the phase difference between incident and scattered waves}$$

$$\text{subject to } |S_l| = 1$$

notice that  $\delta_l = 0$ , when the potential is absent.

$$\Rightarrow e^{2i\delta_l} = 1 + 2i^l k f_l \Rightarrow \delta_l = \frac{2i\delta_l}{2i^l k} = e^{i\delta_l} \frac{(e^{-i\delta_l} - e^{i\delta_l})}{2i^l k} = \frac{1}{k} e^{i\delta_l} \sin\delta_l$$

$$\Rightarrow f(\theta) = \sum_l (2l+1) \frac{e^{i\delta_l} \sin\delta_l}{k} P_l(\cos\theta)$$

$$\frac{d\sigma}{d\Omega} = |f(\theta)|^2 = f f^*$$

$$\frac{da}{d\Omega} = \sum_{l, l'} (2l+1)(2l'+1) P_l(\cos\theta) P_{l'}(\cos\theta) f_l f_{l'}^*$$

$$\sigma = \int \frac{da}{d\Omega} d\Omega = \sum_{l, l'} (2l+1)(2l'+1) f_l f_{l'}^* \int d\Omega P_l(\cos\theta) P_{l'}(\cos\theta)$$

$$= 4\pi \sum_l (2l+1)^2 \frac{|f_l|^2}{(2l+1)}$$

$$2\pi \int \sin\theta d\theta P_l P_{l'}$$

let  $x = \cos\theta$   
 $dx = -\sin\theta d\theta$

$$= 4\pi \sum_l (2l+1) |f_l|^2$$

$$-2\pi \int_{-1}^1 dx P_l P_{l'}$$

$$= \frac{4\pi}{k^2} \sum_l (2l+1) \sin^2 \delta_l \quad ; \quad \sin \delta_l \leq 1$$

$$2\pi \int_{-1}^1 dx P_l P_{l'}$$

$$2\pi \frac{2}{2l+1} \delta_{ll'}$$

$\Rightarrow$  Notice that  $\sigma_{\max}$  occurs when  $\delta_l = \pi/2$  for  $l^{\text{th}}$  partial wave

$$\sigma_l^{\max} = \frac{4\pi}{k^2} (2l+1) \quad \text{called resonance scattering}$$

- for forward scattering ( $\theta \approx 0$ );

$$f(\theta \rightarrow 0) = \sum_l (2l+1) \frac{e^{i\delta_l} \sin \delta_l}{k}$$

$$\left\{ \begin{array}{l} P_0(x) = 1; P_1(x) = x \\ P_2(x) = \frac{1}{2}(3x^2 - 1), \dots \end{array} \right.$$

$\geq 0$  always

$$\text{Im } f(\theta \rightarrow 0) = \frac{1}{k} \sum_l (2l+1) \sin^2 \delta_l$$

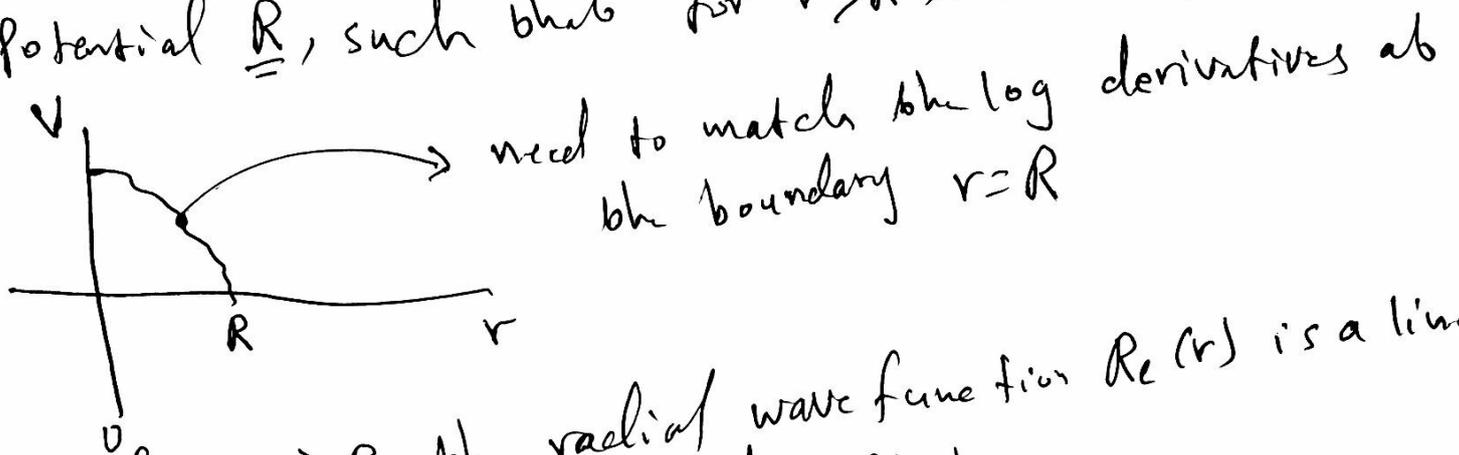
compare with  $\sigma$

$$\sigma = \frac{4\pi}{k^2} \text{Im } f(0)$$

called optical theory

We see that the whole theory of scattering is reduced to the problem of calculating the phase shift  $S_l$ . once  $S_l$  is found, the scattering amplitude and the cross section can be calculated.

- to demonstrate the idea of calculating phase shift, consider the scattering of a wave from a finite range potential  $\underline{R}$ , such that for  $r > R$ , we have free motion



now for  $r > R$ , the radial wave function  $R_l(r)$  is a linear combination of  $J_l(kr)$  and  $n_l(kr)$

$$R_l(r) = A_l J_l(kr) + B_l n_l(kr) \quad (*)$$

as  $r \rightarrow \infty \Rightarrow R_l(r) \approx A_l \frac{\sin(kr - l\pi/2)}{kr} - B_l \frac{\cos(kr - l\pi/2)}{kr}$

this however can be written as

$$R_l(r) \approx \frac{\sin(kr - l\pi/2 + \delta)}{kr} \quad ; \quad \delta: \text{phase shift}$$

proof:  $R_l(r) \approx \frac{1}{kr} \left[ \sin(kr - l\pi/2) \cos \delta_l + \sin \delta_l \cos(kr - l\pi/2) \right]$

$$\approx \cos \delta_l \frac{\sin(kr - l\pi/2)}{kr} + \sin \delta_l \frac{\cos(kr - l\pi/2)}{kr}$$

$$\approx \cos \delta_l J_l(kr) - \sin \delta_l n_l(kr)$$

Comparing this with eqn (\*) we found  $A_l = \cos \delta_l$   
 $B_l = -\sin \delta_l$

$\therefore R_c(r) = \cos \delta_c j_c - \sin \delta_c n_c$  ;  $\delta_c$ : phase shift  
 notice that in the absence of  $V(r)$  (i.e.  $V(r)=0$ ),  $\delta_c=0$   
 the  $R_c(r) = j_c(kr)$  which is finite at  $r=0, \infty$   
 so it sounds that  $\delta_c$  measures the degree to which  $R_c(r)$   
 differs from  $j_c(kr)$  as  $r \rightarrow \infty$ .

so outside the potential range  $r > R$ , we have

$$R_c(r) = \cos \delta_c j_c - \sin \delta_c n_c \quad \dots \quad (**)$$

now matching the Log derivatives at  $r=R$ , gives

$$\left( \frac{R_c'}{R_c} \right)_{\text{out}} = \left( \frac{R_c'}{R_c} \right)_{\text{inside}} \Rightarrow \left( \frac{R_c'}{R_c} \right)_{\text{out}} = \lambda_c \quad \rightarrow \text{take the regular solution only}$$

now using  $\frac{d}{dr} j_c(kr) = k j_c'$  and  $\frac{d}{dr} (n_c(kr)) = k n_c'$

$$\Rightarrow R \left( \frac{\cos \delta_c j_c' - \sin \delta_c n_c'}{\cos \delta_c j_c - \sin \delta_c n_c} \right) \Bigg|_{r=R} = \lambda_c$$

$$R \frac{(j_c' - \tan \delta_c n_c')}{j_c - \tan \delta_c n_c} = \lambda_c$$

$$\text{solving for } \tan \delta_c \Rightarrow \tan \delta_c = \frac{R j_c' - \lambda_c j_c}{R n_c' - \lambda_c n_c} \quad \dots \quad (***)$$

To check the last result, take the case of  $V(r) = 0$

$$\Rightarrow R_{\ell}(\text{inside}) = j_{\ell}(kr)$$

$$\Rightarrow \lambda_{\ell} = k \frac{j_{\ell}'}{j_{\ell}} \Rightarrow \text{substitute this into (xxx)}$$

$$\Rightarrow \tan \delta_{\ell} = \frac{k j_{\ell}' - k \frac{j_{\ell}'}{j_{\ell}} j_{\ell}}{k n_{\ell}' - k \frac{j_{\ell}'}{j_{\ell}} n_{\ell}} = \frac{k j_{\ell}' - k j_{\ell}'}{k n_{\ell}' - \frac{j_{\ell}' n_{\ell}}{j_{\ell}}} = 0$$

$\Rightarrow \delta_{\ell} = 0 \Rightarrow$  No Potential.  
(No phase)  $\Rightarrow$  No potential present

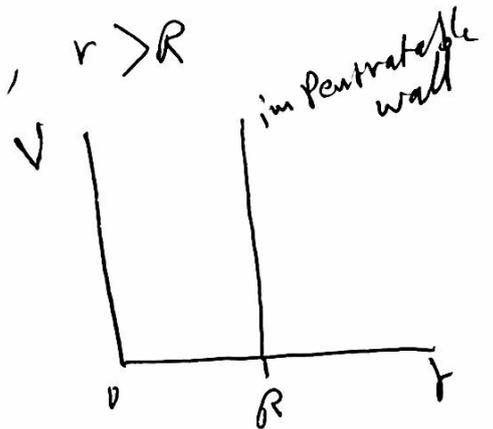
Example 1 consider the scattering of a particle of mass  $m$  from

a hard sphere potential

$$V(r) = \begin{cases} \infty, & r < R \\ 0, & r > R \end{cases}$$

$$R(\text{inside}) = 0$$

$$\Rightarrow \lambda_{\ell} = \left( \frac{R'}{R} \right)_{\text{inside}} = \frac{R'}{0} \rightarrow \infty \quad \text{not zero}$$



$$\Rightarrow \tan \delta_{\ell} \approx \left. \frac{j_{\ell}(kr)}{n_{\ell}(kr)} \right|_{r=R} = \frac{j_{\ell}(kR)}{n_{\ell}(kR)}$$

c) low energy limit (long wave length limit)  
here scattering is dominated by s-waves ( $\ell=0$ )

$$\tan \delta_0 = \frac{j_0(kR)}{n_0(kR)} = \frac{\sin(kR)/kR}{-\cos(kR)/kR} = -\tan(kR)$$

$\Rightarrow \delta_0 = -kR$  this is the lowest value of the phase shift. it is negative as it should be for repulsive potential

the scattering amplitude is

$$f(\theta) = f_c = f_0 = \frac{1}{R} e^{i\delta_0} \sin \delta_0 = -\frac{1}{R} e^{-i\delta_0} \sin(kR)$$

$$\frac{d\sigma}{d\Omega} = |f_0|^2 = \frac{\sin^2(kR)}{R^2} \Rightarrow \sigma = \int \frac{d\sigma}{d\Omega} d\Omega = \frac{4\pi}{k^2} \sin^2(kR)$$

for low energies  $kR \ll 1 \Rightarrow \sigma = \frac{4\pi}{k^2} k^2 R^2 = 4\pi R^2$

recall that for classical hard sphere  $\sigma = \pi R^2$

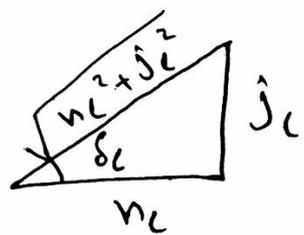
long wavelength waves  $\leftarrow$  encloses the spherical potential from all sides.

c) high energy limit (short wave length limit)

now we have  $\tan \delta_l = \frac{j_l(kR)}{n_l(kR)}$

we also know 
$$\sigma = \frac{4\pi}{k^2} \sum_l (2l+1) \sin^2 \delta_l$$

$$= \frac{4\pi}{k^2} \sum_l (2l+1) \frac{j_l^2(kR)}{j_l^2(kR) + n_l^2(kR)}$$



for  $kR \gg 1 \Rightarrow j_l \sim \frac{\sin(kR - l\pi/2)}{kR}$

$$n_l \sim -\frac{\cos(kR - l\pi/2)}{kR}$$

$$\Rightarrow \sigma \approx \frac{4\pi}{k^2} \sum_{l=0}^{l_{max}} (2l+1) \underbrace{\sin^2(kR - l\pi/2)}_{\text{oscillating fast}}$$

so take its average = 1/2

What is  $L_{max}$ ?  $\Rightarrow$  Recall that  $mUR = L\hbar$

$$L_{max} = \frac{mUR}{\hbar} = \frac{p}{\hbar} R = kR$$

$$\Rightarrow \sigma \approx \frac{4\pi}{k^2} \frac{1}{2} \sum_{l=0}^{kR} (2l+1) \stackrel{\text{for large } L}{\approx} \frac{4\pi}{k^2} \frac{1}{2} \sum 2l$$

$$\approx \frac{2\pi}{k^2} \int_0^{kR} dl (2l) = \frac{2\pi}{k^2} (kR)^2 = 2\pi R^2$$

twice the classical result  
 meaning that short wave lengths scatter from one half  
 (face) of the sphere.

Notice that a typical wave functions for the hard sphere  
 problem can be written as  $u(r) = rR(r)$

$$\text{where } u(r) = \begin{cases} 0, & r < R \\ A \sin(kr + \delta_0), & r > R \end{cases}$$

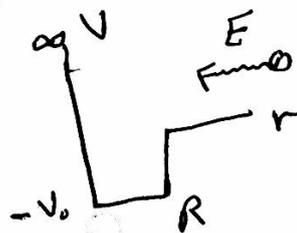
so from the continuity of  $u$  at  $r=R$ , one finds  
 $\sin(kR + \delta_0) = 0 \Rightarrow kR + \delta_0 = 0 \Rightarrow \delta_0 = -kR$   
 as expected.

Example 2: consider the low-energy scattering by  
 a spherical potential well  $V(r) = \begin{cases} -V_0, & r < R \\ 0, & r > R \end{cases}$

for  $r > R$ , the radial eq<sup>n</sup> reads

$$\frac{d^2 u_l}{dr^2} + \left[ k^2 - \frac{l(l+1)}{r^2} \right] u_l = 0, \quad k^2 = \frac{2mE}{\hbar^2}$$

for low energy, the s-waves scattering  
 dominate ( $l=0$ )



$$\Rightarrow \frac{d^2 u_l}{dr^2} + k^2 u_l = 0 \Rightarrow u_0 = A \sin(kr + \delta_0)$$

Recall that this is a combination of  $\sin kr$  and  $\cos kr$   
 Now  $A [\sin kr \cos \delta_0 + \cos kr \sin \delta_0]$

now for  $r < R$

$$\frac{d^2 u_l}{dr^2} + \left[ k'^2 - \frac{l(l+1)}{r^2} \right] u_l = 0, \quad k'^2 = \frac{2m}{\hbar^2} (E - (-V_0))$$

$$= \frac{2m}{\hbar^2} (E + V_0); \quad E > 0$$

$$\frac{d^2 u_l}{dr^2} + k'^2 u_l = 0$$

$$\text{for } l=0, \quad \frac{d^2 u_0}{dr^2} + k'^2 u_0 = 0, \Rightarrow$$

$$\Rightarrow u_0(r) = B \sin k'r + C \cos k'r, \quad \text{where } u_0(0) \text{ must vanish}$$

$$\Rightarrow C = 0$$

$$= B \sin k'r$$

matching the log derivative at  $r=R$

$$\left. \frac{k \cos(kR + \delta_0)}{\sin(kR + \delta_0)} \right|_{r=R} = \left. \frac{k' \cos k'r}{\sin k'r} \right|_{r=R}$$

$$\Rightarrow \frac{1}{R} \tan(kR + \delta_0) = \frac{1}{R'} \tan(k'R)$$

at low energy  $\tan(kR + \delta_0) \approx kR + \delta_0$

$$\text{and } \tan(k'R) = k'R + \frac{1}{3} k'^3 R^3, \quad \text{where } \tan x = x + \frac{1}{3} x^3 + \dots$$

$$\Rightarrow kR + \delta_0 = \frac{R}{R'} (k'R + \frac{1}{3} k'^3 R^3)$$

$$= kR + \frac{1}{3} R k'^2 R^3$$

$$\Rightarrow \delta = \frac{1}{3} R k'^2 R^3 \quad \text{which is positive as expected for negative attractive potential}$$

$$\sigma_T = \frac{4\pi}{k^2} \sin^2 \delta_0 \approx \frac{4\pi}{k^2} \delta_0^2$$

$$\approx \frac{4\pi}{k^2} \frac{1}{9} k^2 k'^4 R^6 = \frac{4\pi}{9} k'^4 R^6$$

$$\approx \frac{4\pi}{9} \frac{4m^2}{\hbar^4} (E+V_0)^2 R^6; \quad E \ll V_0$$

$$\approx \frac{16\pi}{9} \frac{m^2 V_0^2}{\hbar^4} R^6$$

now for very deep well  $V_0 \rightarrow \infty$ , we have

$$\frac{1}{R} \tan(kR + \delta_0) = \frac{1}{k'} \tan(k'R)$$

$$\frac{1}{R} (kR + \delta_0) = \frac{1}{k'} \left( -i \left( \frac{e^{ik'R} - e^{-ik'R}}{e^{ik'R} + e^{-ik'R}} \right) \right) \approx -i$$

$$\text{using } \tan x = -i \frac{e^{ix} - e^{-ix}}{e^{ix} + e^{-ix}}$$

now for deep well

$$(k'R)^2 = \frac{2m}{\hbar^2} (E+V_0) R^2 \rightarrow \infty$$

$$\Rightarrow e^{-ik'R} \rightarrow 0$$

$$\Rightarrow \frac{1}{R} (kR + \delta_0) = \frac{-i}{k'} \Rightarrow kR + \delta_0 = \frac{R}{k'} (-i)$$

$$\text{but } k' \gg k \text{ for deep well} \Rightarrow \frac{R}{k'} \rightarrow 0$$

$$\Rightarrow kR + \delta_0 = 0 \Rightarrow \delta_0 = -kR$$

this result is similar to hard sphere scattering with  $\sigma = 4\pi R^2$