

# Phys 771

## Condensed Matter Physics

### Problem Set # 6

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1. Consider a periodic potential  $U(\vec{r}) = \sum_{\vec{K}} U_{\vec{K}} e^{i\vec{K} \cdot \vec{r}}$ , with a plane wave solution  $\langle \mathbf{r} | \mathbf{k} \rangle = \frac{1}{\sqrt{V}} e^{i\vec{k} \cdot \vec{r}}$ , show that the matrix elements of the potential  $\langle \mathbf{k}' | U | \mathbf{k} \rangle = U_{\mathbf{k}' - \mathbf{k}}$ , i.e the only non-vanishing Fourier components of  $U(\vec{r})$  are those that link different states via reciprocal lattice vectors. Find  $\langle \mathbf{k} + \mathbf{K} | U | \mathbf{k} \rangle$  and  $\langle \mathbf{k} | U | \mathbf{k} + \mathbf{K} \rangle$  and show that  $U_{-\mathbf{K}} = U_{\mathbf{K}}^*$
2. Consider the 1D periodic potential  $U(x) = 2 V_o \cos^2(\frac{\pi x}{a})$ , where  $V_o$  is real constant. Use perturbation theory to find the first and second order corrections to energy and estimate the energy gap at  $k = \pi/a$
3. Marder 8.1 (modified): Consider a square lattice in two dimensions with the potential

$$U(x, y) = -4U_0 \cos\left(\frac{2\pi x}{a}\right) \cos\frac{2\pi y}{a}$$

Determine the non-vanishing Fourier components of  $U(x, y)$ . Use the central equation to estimate the energy gap at the Brillouin zone's corner point,  $(\pi/a, \pi/a)$ . Simply solving a 2x2 determinantal problem is sufficient.

4. Use the tight-binding model to calculate the band structure of an ABABAB... chain (a 1D diatomic lattice with alternating atoms A and B as shown in **Figure 1**), assuming that the distance between any two neighboring atoms is  $a$ , and the on-site energies of A and B are  $\varepsilon_A$  and  $\varepsilon_B$ , respectively. The resulting dispersion relation should have two bands: the bottom band may be called the valence band, while the higher band can be called the conduction band. Find the bandwidth at  $k = 0$  and the energy gap at  $k = \pm \pi/2a$ . Use computer to plot the two bands in the first BZ;  $[-\pi/2a, \pi/2a]$ . Make the plot for the two cases  $\varepsilon_A \neq \varepsilon_B$  and  $\varepsilon_A = \varepsilon_B$ . Which case generates a band gap?



Figure 1:

5. Use the tight-binding model to calculate the band structure of a diatomic square lattice ( with alternating atoms A and B, as shown in **Figure 2**), assuming that each unit cell contains two atoms A and B and the distance between any two neighboring atoms is  $a$ , and the on-site energies of A and B are  $\varepsilon_A$  and  $\varepsilon_B$ , respectively. The resulting dispersion relation should have two bands: the bottom band may be called the valence band, while the higher band can be called the conduction band. Use computer to plot the two bands in the first BZ;  $k_x, k_y \in [-\pi/a, \pi/a]$ . Make the plot for the two cases  $\varepsilon_A \neq \varepsilon_B$  and  $\varepsilon_A = \varepsilon_B$ . Which case generates a band gap? Find the bandwidth of each band

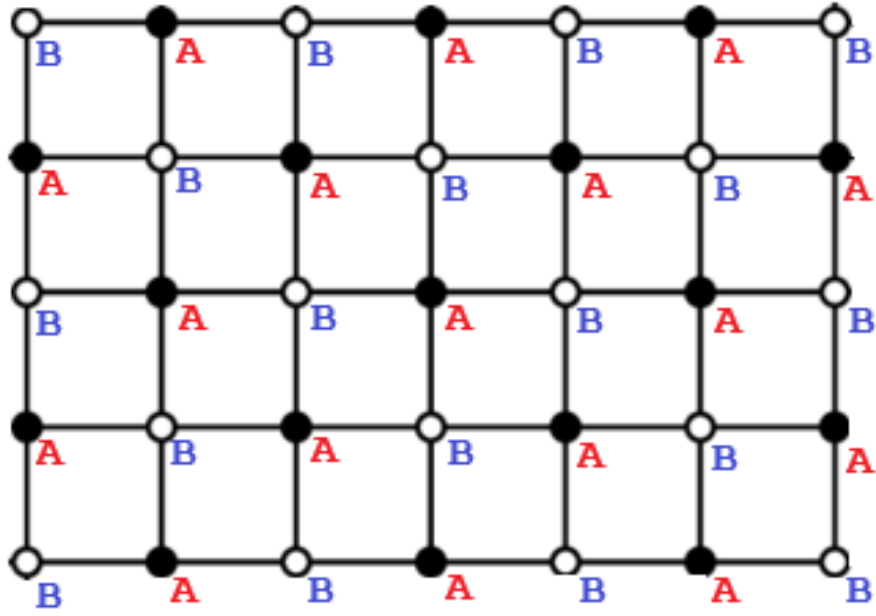


Figure 2:

6. Calculate the tight-binding density of states for the 1D diatomic chain discussed in problem 4. Use computer to plot the two density of states of the two bands in the first BZ;  $[-\pi/2a, \pi/2a]$ . Make the plot for the two cases  $\varepsilon_A \neq \varepsilon_B$  and  $\varepsilon_A = \varepsilon_B$