

Potential scattering and The Born approximation

let us consider a finite potential $V(\vec{r})$; $V \rightarrow 0$ as $r \rightarrow \infty$,
then the schrodinger equation reads

$$H\psi = E\psi \Rightarrow \left[\frac{p^2}{2m} + V(\vec{r}) \right] \psi(\vec{r}) = E \psi(\vec{r}) \quad ; \quad \vec{p} = -i\hbar \vec{\nabla}$$

$$-\frac{\hbar^2}{2m} \nabla^2 \psi(\vec{r}) + V(\vec{r}) \psi(\vec{r}) = E \psi(\vec{r})$$

$$(\nabla^2 + k^2) \psi(\vec{r}) = \frac{2m}{\hbar^2} V(\vec{r}) \psi(\vec{r})$$

inhomogeneous 2nd order
diff equation
with $k^2 = \frac{2mE}{\hbar^2}$

here we are concerned with elastic
scattering, where energy is conserved.

so we need to solve the above eqⁿ for $E > 0$ (scattering
solution). the solution can be written as

$$\psi(\vec{r}) = e^{i\vec{k} \cdot \vec{r}} + \tilde{\psi}(\vec{r}) \quad , \text{ where } \tilde{\psi}(\vec{r}) \approx f(\vec{k}, \vec{k}') \frac{e^{i\vec{k}' \cdot \vec{r}}}{r} \text{ as } r \rightarrow \infty$$

$\downarrow$ incident wave $\downarrow$ scattered wave

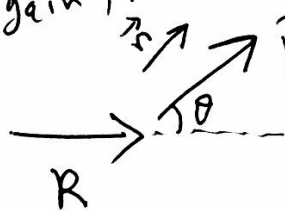
substitute the last solution into the schrodinger eqⁿ yields

$$(\nabla^2 + k^2) \tilde{\psi}(\vec{r}) = \frac{2m}{\hbar^2} V(\vec{r}) \psi(\vec{r}) \quad (*)$$

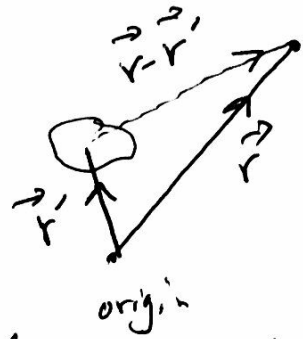
the last eqⁿ has the form of Helmholtz equation, it
can be solved with the help of Green's functions.

Again, recall that for elastic scattering

$$\vec{k}' = \vec{k} \cdot \vec{n} = \vec{k} \cdot \frac{\vec{r}}{r} \quad ; \text{ with } |\vec{k}'| = |\vec{k}|$$



let us assume that our source (target) is localized at $\vec{r}'$ and we need to find $\tilde{\psi}$ at point $\vec{r}$ when $r \gg r'$



claim $\tilde{\psi}(\vec{r}) = \frac{-m}{2\pi\hbar^2} \int d^3\vec{r}' G(\vec{r}, \vec{r}') V(\vec{r}') \psi(\vec{r}')$

where the Green's function $G(\vec{r}, \vec{r}')$ satisfy the point-source equation

$$(\nabla^2 + k^2) G(\vec{r}, \vec{r}') = -4\pi \delta(\vec{r} - \vec{r}')$$

free motion everywhere except at $\vec{r} = \vec{r}'$

let us check that $\tilde{\psi}(\vec{r})$ satisfy the schrodinger eqⁿ (*)

$$(\nabla^2 + k^2) \tilde{\psi}(\vec{r}) = \frac{-m}{2\pi\hbar^2} \int d^3\vec{r}' (\nabla^2 + k^2) G(\vec{r}, \vec{r}') V(\vec{r}') \psi(\vec{r}')$$

$$= \frac{-m}{2\pi\hbar^2} \int d^3\vec{r}' (-4\pi \delta(\vec{r} - \vec{r}')) V(\vec{r}') \psi(\vec{r}')$$

$$= \frac{2m}{\hbar^2} V(\vec{r}) \psi(\vec{r}) \quad \text{as expected.}$$

$\Rightarrow$ the total wave function $\psi(\vec{r})$ is given by

$$\psi(\vec{r}) = e^{i\vec{k} \cdot \vec{r}} + \tilde{\psi}(\vec{r}) = e^{i\vec{k} \cdot \vec{r}} - \frac{m}{2\pi\hbar^2} \int d^3\vec{r}' G(\vec{r}, \vec{r}') V(\vec{r}') \psi(\vec{r}')$$

This an integral eqⁿ equivalent to schrodinger eqⁿ

now what is $G(\vec{r}, \vec{r}')$??

let us put the source at the origin for a moment ($\vec{r}' = 0$)

$$\Rightarrow (\nabla^2 + k^2) G(r, 0) = -4\pi \delta(r)$$

+ outgoing spher. wave
- incoming " "

$$\therefore r \neq 0 \Rightarrow G_{\pm}(r, 0) = \frac{e^{\pm ikr}}{r}$$

let us focus on the outgoing spherical wave solution G_+

$$G_+ = \text{shortly} = G(r, 0) = \frac{e^{ikr}}{r}$$

let us check to see that it satisfies $(\nabla^2 + k^2)G = 0$; for $r \neq 0$

$$\nabla_r^2 = \frac{d^2}{dr^2} + \frac{2}{r} \frac{d}{dr} \text{ in spherical}$$

$$\frac{d}{dr} G(r, 0) = \frac{ik}{r} e^{ikr} - \frac{1}{r^2} e^{ikr}$$

$$\frac{d^2}{dr^2} G(r, 0) = \frac{(ik)^2}{r} e^{ikr} - \frac{2ik}{r^2} e^{ikr} + \frac{2}{r^3} e^{ikr}$$

$$\begin{aligned} \Rightarrow \left(\frac{d^2}{dr^2} + \frac{2}{r} \frac{d}{dr} \right) G(r, 0) &= \frac{(ik)^2}{r} e^{ikr} - \frac{2ik}{r^2} e^{ikr} + \frac{2}{r^3} e^{ikr} + \frac{2ik}{r} e^{ikr} - \frac{2}{r^3} e^{ikr} \\ &= -\frac{k^2}{r} e^{ikr} \end{aligned}$$

$$\Rightarrow (\nabla^2 + k^2)G = -\frac{k^2}{r} e^{ikr} + k^2 \frac{e^{ikr}}{r} = 0 \quad \checkmark$$

$$\text{so } G(r, 0) = \frac{e^{ikr}}{r}$$

$$\text{so by induction } G(\vec{r}, \vec{r}') = \frac{e^{ik|\vec{r}-\vec{r}'|}}{|\vec{r}-\vec{r}'|} \quad \text{outgoing}$$

$$\therefore \psi(\vec{r}) = e^{i\vec{k} \cdot \vec{r}} - \frac{m}{2\pi\hbar^2} \int d^3\vec{r}' G(\vec{r}, \vec{r}') V(\vec{r}') \psi(\vec{r}') \quad \dots (*)$$

notice that ψ appears on both sides of the equation.
this equation can be solved approximately by iterative approximations, known as Born approximation

- the zeroth solution is $\psi_0(\vec{r}) = e^{i\vec{k}\cdot\vec{r}}$

- the first order solution $\psi_1(\vec{r})$ is obtained by inserting $\psi_0(\vec{r}) = e^{i\vec{k}\cdot\vec{r}}$ into the integrand (i.e. $\psi(\vec{r}') = e^{i\vec{k}\cdot\vec{r}'}$)

$$\Rightarrow \psi_1(\vec{r}) = e^{i\vec{k}\cdot\vec{r}} - \frac{m}{2\pi\hbar^2} \int d^3\vec{r}' \frac{e^{i\vec{k}|\vec{r}-\vec{r}'|}}{|\vec{r}-\vec{r}'|} V(\vec{r}') e^{i\vec{k}\cdot\vec{r}'}$$

- the second order solution is obtained by inserting $\psi_1(\vec{r})$ into the integrand

$$\psi_2(\vec{r}) = e^{i\vec{k}\cdot\vec{r}} - \frac{m}{2\pi\hbar^2} \int d^3\vec{r}'' \frac{e^{i\vec{k}|\vec{r}-\vec{r}''|}}{|\vec{r}-\vec{r}''|} V(\vec{r}'') \psi_1(\vec{r}'')$$

$$= e^{i\vec{k}\cdot\vec{r}} - \frac{m}{2\pi\hbar^2} \int d^3\vec{r}'' \frac{e^{i\vec{k}|\vec{r}-\vec{r}''|}}{|\vec{r}-\vec{r}''|} V(\vec{r}'') \left[e^{i\vec{k}\cdot\vec{r}''} - \frac{m}{2\pi\hbar^2} \int d^3\vec{r}' \frac{e^{i\vec{k}|\vec{r}''-\vec{r}'|}}{|\vec{r}''-\vec{r}'|} V(\vec{r}') e^{i\vec{k}\cdot\vec{r}'} \right]$$

$$= e^{i\vec{k}\cdot\vec{r}} - \frac{m}{2\pi\hbar^2} \int d^3\vec{r}'' \frac{e^{i\vec{k}|\vec{r}-\vec{r}''|}}{|\vec{r}-\vec{r}''|} V(\vec{r}'') e^{i\vec{k}\cdot\vec{r}''} + \left(\frac{m}{2\pi\hbar^2}\right)^2 \int d^3\vec{r}'' \frac{e^{i\vec{k}|\vec{r}-\vec{r}''|}}{|\vec{r}-\vec{r}''|} V(\vec{r}'') \int d^3\vec{r}' \frac{e^{i\vec{k}|\vec{r}''-\vec{r}'|}}{|\vec{r}''-\vec{r}'|} V(\vec{r}') e^{i\vec{k}\cdot\vec{r}'}$$

and so on.

Let us now check that our solution (eq.**) reduces to $\psi(\vec{r}) = e^{i\vec{k}\cdot\vec{r}} + f(\theta, \phi) \frac{e^{i\vec{k}\cdot\vec{r}}}{r}$ for large values of r . In a typical scattering experiment, the detector is located at a distance r from the target that is much larger than the size of the target (r') i.e. $r \gg r'$, then we may approximate $|\vec{r}-\vec{r}'|$ as follows

$$(\vec{r} - \vec{r}')^2 = (\vec{r} - \vec{r}') \cdot (\vec{r} - \vec{r}') = r^2 - 2\vec{r} \cdot \vec{r}' + r'^2$$

$$\Rightarrow |\vec{r} - \vec{r}'| = \sqrt{r^2 - 2\vec{r} \cdot \vec{r}' + r'^2} \approx \sqrt{r^2 - 2\vec{r} \cdot \vec{r}'} \quad ; \text{ as } r \gg r'$$

$$\approx r \sqrt{1 - \frac{2\vec{r} \cdot \vec{r}'}{r^2}} = r \left(1 - \frac{2\vec{r} \cdot \vec{r}'}{r^2}\right)^{1/2} \approx r \left(1 - \frac{\vec{r} \cdot \vec{r}'}{r^2}\right)$$

$$= r - \frac{\vec{r} \cdot \vec{r}'}{r} = r - \vec{n} \cdot \vec{r}' \quad ; \vec{n} \text{ is a unit vector along the observation point (detector)}$$

$$\Rightarrow G(\vec{r}, \vec{r}') = \frac{e^{ik|\vec{r} - \vec{r}'|}}{|\vec{r} - \vec{r}'|} \approx \frac{1}{r} e^{ik(r - \vec{n} \cdot \vec{r}')} = \frac{e}{r} e^{ikr - i\vec{k} \cdot \vec{r}'}$$

$$= \frac{e}{r} e^{ikr - i\vec{k}' \cdot \vec{r}'} \quad ; \text{ when } \vec{k}' = k\vec{n} = \vec{k} \cdot \vec{n}$$

$$\text{to check take } r' = 0 \Rightarrow G(r, 0) = \frac{e}{r}$$

so for $r \gg R$, we have

$$\Psi(\vec{r}) \approx e^{i\vec{k} \cdot \vec{r}} - \frac{m}{2\pi\hbar^2} \frac{e^{ikr}}{r} \int d^3r' e^{-i\vec{k}' \cdot \vec{r}'} V(\vec{r}') \Psi(\vec{r}')$$

$$\text{Comparing this result with } \Psi(\vec{r}) \approx e^{i\vec{k} \cdot \vec{r}} + f(\vec{k}, \vec{k}') \frac{e^{ikr}}{r}$$

$$\text{we found for } f(\vec{k}, \vec{k}') = f(\theta, \phi)$$

$$f(\vec{k}, \vec{k}') = -\frac{m}{2\pi\hbar^2} \int d^3r' e^{-i\vec{k}' \cdot \vec{r}'} V(\vec{r}') \Psi(\vec{r}') \quad ; \Psi(\vec{r}') = e^{i\vec{k} \cdot \vec{r}'}$$

for 1st Born approximation (single scattering)

$$f(\vec{k}', \vec{k}) = -\frac{m}{2\pi\hbar^2} \int d^3r' e^{i(\vec{k} - \vec{k}') \cdot \vec{r}'} V(\vec{r}') \quad , \text{ rename } \vec{r}' \rightarrow \vec{r} \text{ } r' \text{ is dummy}$$

$$= -\frac{m}{2\pi\hbar^2} \int d^3r e^{i\vec{q} \cdot \vec{r}} V(\vec{r}) \quad ; \vec{q} = \vec{k} - \vec{k}'$$

$$= -\frac{m}{2\pi\hbar^2} V_{\vec{q}} \quad \hookrightarrow \text{transfer momentum}$$

now $\frac{d\sigma}{d\Omega} = |f(\vec{k}, \vec{k}')|^2 = \left(\frac{m}{2\pi\hbar^2}\right)^2 |V_q|^2$

where $V_q = \int d\vec{r} e^{i\vec{q}\cdot\vec{r}} V(\vec{r})$

; $|\vec{k}'| = |\vec{k}|$

for elastic scattering, what is $|\vec{q}|$

$\vec{q} = \vec{k} - \vec{k}' \Rightarrow q^2 = k^2 + k'^2 - 2\vec{k}\cdot\vec{k}' = 2k^2 - 2k^2 \cos\theta$
 $= 2k^2(1 - \cos\theta) = 4k^2 \sin^2 \frac{\theta}{2}$

$\Rightarrow q = 2k \sin \frac{\theta}{2}$

- now for spherically symmetric potential $V(\vec{r}) = V(r)$

$V_q = \int d\Omega e^{i\vec{q}\cdot\vec{r}} = \int d\vec{r} e^{i\vec{q}\cdot\vec{r}} V(r) = \int d\Omega r^2 dr e^{iqr \cos\alpha} V(r)$
 ; where $d\Omega = \sin\alpha d\alpha d\phi$
 we used α as θ was preserved for the scattering angle

$= 2\pi \int_0^\pi \sin\alpha d\alpha e^{iqr \cos\alpha} r^2 dr V(r)$
 $= 2\pi \int_0^\pi r^2 dr V(r) \int_0^\pi d\alpha \sin\alpha e^{iqr \cos\alpha}$

now let $t = \cos\alpha \Rightarrow dt = -\sin\alpha d\alpha$
 $\int_0^\pi d\alpha \sin\alpha e^{iqr \cos\alpha} = - \int_{-1}^1 dt e^{iqr t} = \int_{-1}^1 dt e^{iqr t} = \frac{1}{iqr} (e^{iqr} - e^{-iqr})$
 $= \frac{2i \sin qr}{iqr} = \frac{2}{qr} \sin qr$

$\Rightarrow V_q = \frac{4\pi}{q} \int_0^\infty dr r V(r) \sin(qr)$

$\Rightarrow \frac{d\sigma}{d\Omega} = \left(\frac{m}{2\pi\hbar^2}\right)^2 |V_q|^2 = \left(\frac{m}{2\pi\hbar^2}\right)^2 \left| \frac{4\pi}{q} \int_0^\infty dr r V(r) \sin(qr) \right|^2$
 $= |f(q)|^2$

$$\sigma = \int d\Omega \frac{d\sigma}{d\Omega} = \int d\Omega |f(\theta)|^2 = 2\pi \int_0^\pi \sin\theta d\theta |f(\theta)|^2$$

$$\text{let } w = \theta^2 = 4k^2 \sin^2 \frac{\theta}{2} \Rightarrow dw = 8k^2 \sin \frac{\theta}{2} \cos \frac{\theta}{2} \cdot \frac{1}{2} d\theta$$

$$\Rightarrow \sigma = \frac{\pi}{k^2} \int_0^{4k^2} dw |f(\theta)|^2$$

$$= 4k^2 \left(\sin \frac{\theta}{2} \cos \frac{\theta}{2} \right) d\theta$$

$$= 4k^2 \left(\frac{1}{2} \sin \theta \right) d\theta$$

$$= 2k^2 \sin \theta d\theta$$

— Validity of the first Born approximation:

the first Born approximation is valid whenever $\psi(\vec{r})$ is only slightly different from the incident plane wave i.e.

$$\left| \frac{m}{2\pi\hbar^2} \int d\vec{r}' V(\vec{r}') \frac{e^{i\vec{k}(\vec{r}-\vec{r}')} }{|\vec{r}-\vec{r}'|} e^{i\vec{k}\cdot\vec{r}'} \right| \ll |e^{i\vec{k}\cdot\vec{r}}| = 1$$

for simplicity, let us take $r=0$

$$\Rightarrow \frac{m}{2\pi\hbar^2} \left| \int d\vec{r}' V(\vec{r}') \frac{e^{i\vec{k}\cdot\vec{r}'} }{|\vec{r}'|} \right| \ll 1$$

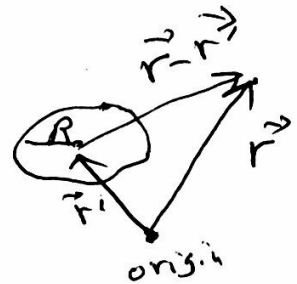
$$\text{let } \vec{r}' \rightarrow \vec{r} \quad \left| \int d\vec{r} V(\vec{r}) \frac{e^{i\vec{k}\cdot\vec{r}} }{|\vec{r}|} \right| \ll 1$$

over the size of potential typically $\int^R$

let us look at the Born approximation at both low and high energy limits for a finite potential of size R

for central potential $\frac{2m}{\hbar^2} \left| \int_0^R r^2 dr V(r) \frac{e^{i\vec{k}\cdot\vec{r}} }{r} \right| \ll 1$

where $d\vec{r} = r^2 dr d\Omega$



$$\Rightarrow \frac{2m}{\hbar^2} \left| \int r dr V(r) e^{i\vec{k}\cdot\vec{r} + i\vec{k}\cdot\vec{r}} \right| \ll 1$$

c) low energy limit (long wavelength limit); $kR \ll 1$
 $R \ll \frac{1}{k} \sim \lambda$

$$\Rightarrow \frac{2m}{\hbar^2} \left| \int dr r V(r) \right| \ll 1 \quad \text{and smoothly}$$

if $V(r)$ varies slowly over the potential range, we can average it as $\bar{V} \Rightarrow \frac{2m}{\hbar^2} \bar{V} \int_0^R r dr \ll 1 \Rightarrow \frac{2m}{\hbar^2} \bar{V} \frac{R^2}{2} \ll 1$

$$\frac{\bar{V}}{(\hbar^2/mR^2)} \ll 1 \Rightarrow \frac{\bar{V}}{\bar{K}} \ll 1; \quad \bar{K}: \text{average kinetic energy.}$$

Recall Particle in a box



$$\Delta x = R$$

$$\Delta p = \frac{\hbar}{R}$$

$$\Rightarrow \bar{K} = \frac{(\Delta p)^2}{2m} = \frac{\hbar^2}{2mR^2}$$

$$\therefore \frac{\bar{V}}{\bar{K}} = \beta \ll 1$$

the potential is weak relative to the particle's kinetic energy:

c) high energy limit (short wave length limit)

$$kR \gg 1 \Rightarrow R \gg \frac{1}{k} \Rightarrow R \gg \lambda$$

$$\frac{m}{2\pi\hbar^2} \left| \int d^3\vec{r} V(\vec{r}) \frac{e^{i\vec{k}\cdot\vec{r} + i\vec{k}\cdot\vec{r}}}{|\vec{r}|} \right| \ll 1$$

$$\frac{m}{2\pi\hbar^2} \left| \int r^2 dr \frac{V(r)}{r} e^{i\vec{k}\cdot\vec{r}} \int d\Omega e^{i\vec{k}\cdot\vec{r}} \right| \ll 1$$

now $\int d\Omega e^{i\vec{k}\cdot\vec{r}} = \int d\Omega e^{i\vec{k}\cdot\vec{r} \cos\alpha} = \int_0^{2\pi} d\phi \int_0^\pi \sin\alpha d\alpha e^{i\vec{k}\cdot\vec{r} \cos\alpha}$

$$= \frac{2\pi}{i\vec{k}\cdot\vec{r}} (e^{i\vec{k}\cdot\vec{r}} - e^{-i\vec{k}\cdot\vec{r}})$$

$$\Rightarrow \frac{m}{\hbar^2 k} \left| \int_0^R dr v(r) (e^{2i k r} - 1) \right| \ll 1$$

→ very fast oscillating term $\ll 1$
can be neglected by
stationary phase method

$$\Rightarrow \frac{m}{\hbar^2 k} \left| \int_0^R dr v(r) \right| \ll 1$$

$$\therefore \frac{m}{\hbar^2 k} \left| \int_0^R dr v(r) \right| \ll 1$$

if $v(r)$ varies slowly $\Rightarrow v(r) \rightarrow \bar{v}$

$$\frac{m R \bar{v}}{\hbar^2 k} \ll 1 \quad \Rightarrow \quad \frac{m \bar{v} R^2}{\hbar^2 k R} \ll 1 \quad \Rightarrow \quad \frac{\bar{v}}{k R} \ll 1$$

$$\Rightarrow \frac{\bar{v}}{k R} \ll 1 \quad \Rightarrow \quad \frac{\bar{v}}{k R} \ll \underbrace{k R}_{\gamma} \quad \Rightarrow \quad \frac{\bar{v}}{k R} \ll \gamma$$

$$\beta \ll \gamma$$

$$\therefore \gamma = k R$$

