

Condensed Matter Physics (Phy 771)

HW #2 - solution

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① problem 2.1 Marder:

FCC: 4 LP/unit cell (primitive) located at

$$(0,0,0), \frac{a}{2}(1,1,0), \frac{a}{2}(1,0,1), \frac{a}{2}(0,1,1)$$

$$\downarrow \vec{a}_1$$

$$\downarrow \vec{a}_2$$

$$\downarrow \vec{a}_3$$

$$V_c = a^3, \quad V_p = |\vec{a}_1 \cdot (\vec{a}_2 \times \vec{a}_3)|; \quad \vec{a}_2 \times \vec{a}_3 = \frac{a^2}{4} \begin{vmatrix} \hat{i} & \hat{j} & \hat{k} \\ 0 & 1 & 1 \\ 1 & 0 & 1 \end{vmatrix}$$

$$\Rightarrow V_p = \left| \frac{a}{2}(1,1,0) \cdot \frac{a^2}{4}(-1,-1,1) \right|$$

$$= \frac{a^3}{8} |-1-1| = \frac{a^3}{4}$$

$$\Rightarrow \frac{V_c}{V_p} = \frac{a^3}{a^3/4} = 4$$

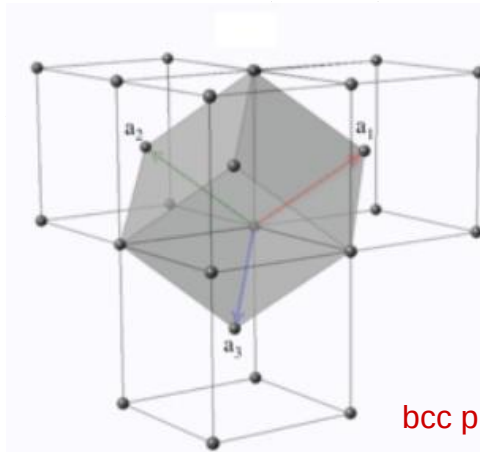
BCC: 2 LP/primitive cell located at $(0,0,0)$ and $\frac{a}{2}(1,1,1)$

primitive lattice vectors are

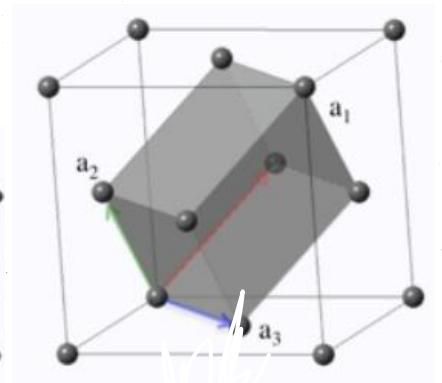
$$\vec{a}_1 = \frac{a}{2}(1,1,-1), \quad \vec{a}_2 = \frac{a}{2}(-1,1,1), \quad \vec{a}_3 = \frac{a}{2}(1,-1,1)$$

$$V_c = a^3, \quad V_p = |\vec{a}_1 \cdot \vec{a}_2 \times \vec{a}_3| = \frac{a^3}{2}$$

$$\Rightarrow \frac{V_c}{V_p} = \frac{a^3}{a^3/2} = 2$$



bcc primitive unit cell



fcc primitive unit cell

② Problem 2.2 Marder

$$\vec{a}_1 = a(1, 0, 0) \text{ and}$$

$$\vec{a}_2 = a \cos 60^\circ \hat{i} + a \sin 60^\circ \hat{j}$$

$$= \frac{a}{2} \hat{i} + a \frac{\sqrt{3}}{2} \hat{j}$$

$$= a\left(\frac{1}{2}, \frac{\sqrt{3}}{2}, 0\right)$$

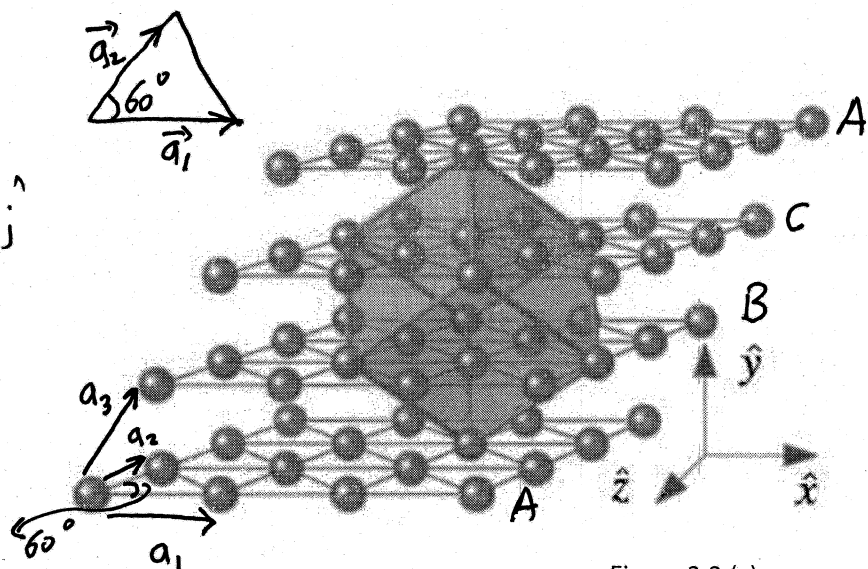
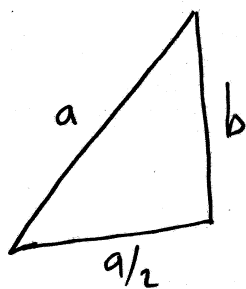


Figure 2.2 (c)

$\vec{a}_1$ and $\vec{a}_2$ generate 2D Hexagonal lattice (triangular lattice) which define layer A in the xy plane. Now we need to construct layer B such that the lattice points of layer B are located above the centers of triangles of layer A. so we need to find the third primitive vector $\vec{a}_3$.

The projection of $\vec{a}_3$ on xy plane is represented by the center point of triangles of layer A.

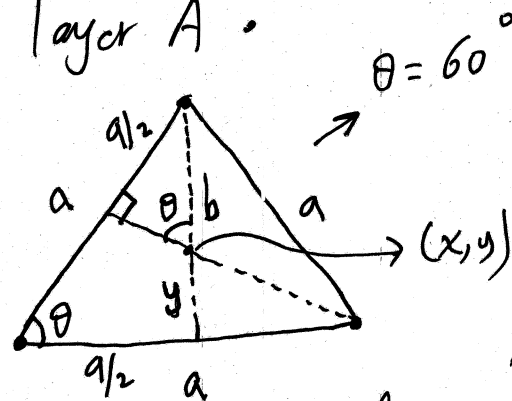
from the figure, we see that $x = \frac{a}{2}$ and from left right triangle



$$\left(\frac{a}{2}\right)^2 + b^2 = a^2$$

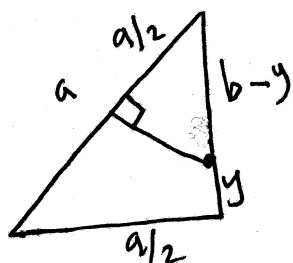
$$\Rightarrow b^2 = \frac{3}{4} a^2$$

$$\Rightarrow b = \frac{\sqrt{3}}{2} a$$



equilateral triangle with side length a

we note that the two right triangles on left side are similar $\Rightarrow \frac{b-y}{a} = \frac{a/2}{b} \Rightarrow b-y = \frac{a^2}{2b} \Rightarrow y = b - \frac{a^2}{2b}$



$$\Rightarrow y = \frac{\sqrt{3}}{2} a - \frac{a^2}{2 \frac{\sqrt{3}}{2} a} = a \left[\frac{\sqrt{3}}{2} - \frac{1}{\sqrt{3}} \right] = \frac{a}{2\sqrt{3}}$$

$$\Rightarrow (x, y) = \left(\frac{a}{2}, \frac{a}{2\sqrt{3}}\right)$$

Now we need to find the z-component of $\vec{a}_3$
 let the z-component be $h = \frac{c}{2}$, so
 from the tetrahedron shown in
 figure below, we see that

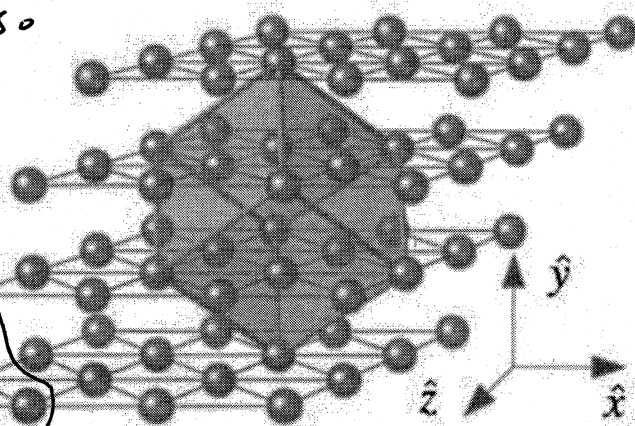
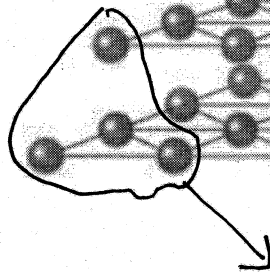
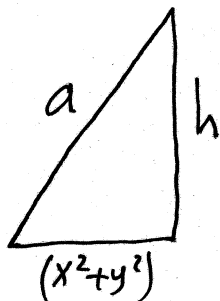


Figure 2.2 (c)

$$\Rightarrow h^2 = a^2 - (x^2 + y^2) = a^2 - \left(\frac{a^2}{4} + \frac{a^2}{12} \right)$$

$$= a^2 - a^2 \left\{ \frac{4}{12} \right\} = a^2 - \frac{a^2}{3} = \frac{2}{3} a^2$$

$$\Rightarrow h = \sqrt{\frac{2}{3}} a, \text{ so the third}$$

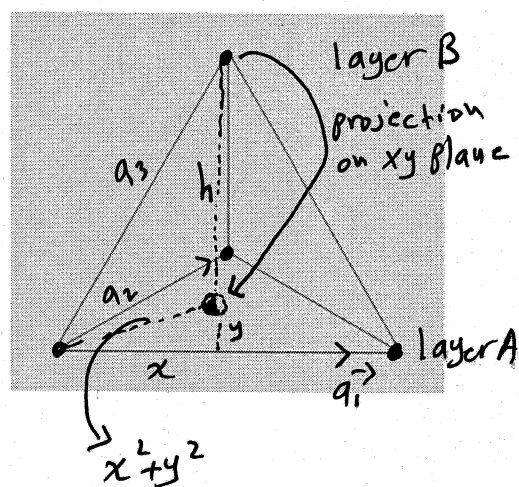
primitive vector $\vec{a}_3$ reads

$$\vec{a}_3 = \left(\frac{a}{2}, \frac{a}{2\sqrt{3}}, \sqrt{\frac{2}{3}} a \right)$$

$$= a \left(\frac{1}{2}, \frac{1}{2\sqrt{3}}, \sqrt{\frac{2}{3}} \right)$$

the fcc lattice is a Bravais lattice, so all lattice
 points can be generated by $\vec{r} = n_1 \vec{a}_1 + n_2 \vec{a}_2 + n_3 \vec{a}_3$.

Note that $c = 2h = 2\sqrt{\frac{2}{3}} a = \sqrt{\frac{8}{3}} a$

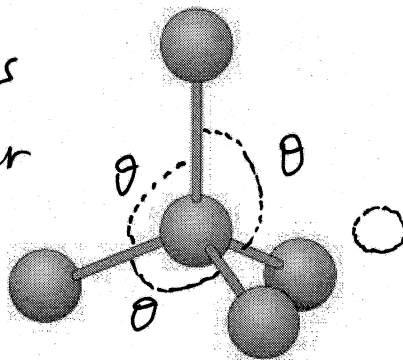


tetrahedron

$$|\vec{a}_1| = |\vec{a}_2| = |\vec{a}_3| = a$$

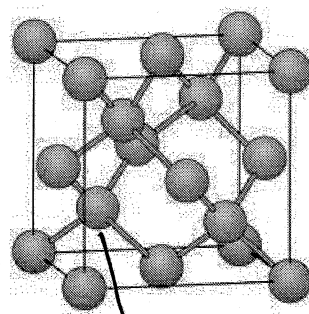
③ problem 2.3 Marder:

in diamond lattice,
each Carbon atom is
bonded to four other
Carbon atoms in
a tetrahedral shape
as shown in figure.



tetrahedron

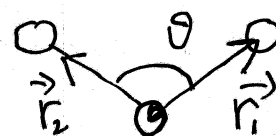
Diamond lattice



$$\left(\frac{1}{4}, \frac{1}{4}, \frac{1}{4}\right)$$

to find the angle between any two bonds, let us
consider the two nearest neighbors of the origin which
are $(1/4, 1/4, 1/4)$ and $(-1/4, -1/4, 1/4)$

$$\text{i.e. } \vec{r}_1 = \frac{1}{4}a\hat{i} + \frac{1}{4}a\hat{j} + \frac{1}{4}a\hat{k} \\ = a\left(\frac{1}{4}, \frac{1}{4}, \frac{1}{4}\right), \text{ and}$$



$$\vec{r}_2 = a\left(-\frac{1}{4}, -\frac{1}{4}, \frac{1}{4}\right) \Rightarrow$$

$$\cos \theta = \frac{\vec{r}_1 \cdot \vec{r}_2}{|\vec{r}_1| |\vec{r}_2|} = \frac{a^2 \left[-\frac{1}{16} - \frac{1}{16} + \frac{1}{16} \right]}{a \sqrt{\frac{3}{16}} a \sqrt{\frac{3}{16}}} = \frac{-\frac{a^2}{16}}{a^2 \frac{3}{16}} = -\frac{1}{3}$$

$$\Rightarrow \theta = \cos^{-1}\left(-\frac{1}{3}\right) = 109.46^\circ$$

④ problem 2.4 Marder

for simple hexagonal lattice, the primitive vectors are

$$\vec{a}_1 = a(1, 0, 0); \quad \vec{a}_2 = a\left(\frac{1}{2}, \frac{\sqrt{3}}{2}, 0\right)$$

and $\vec{a}_3 = (0, 0, c)$. but these

3 vectors gives the positions of

lattice points only in layers of

type A in the hcp ABAB structure,

{ hcp structure
ABABAB

meaning that the 3 primitive vectors $\vec{a}_1, \vec{a}_2, \vec{a}_3$ don't encode all lattice points in the hcp ABAB structure.

This is expected as the hcp lattice is Not a Bravais lattice.

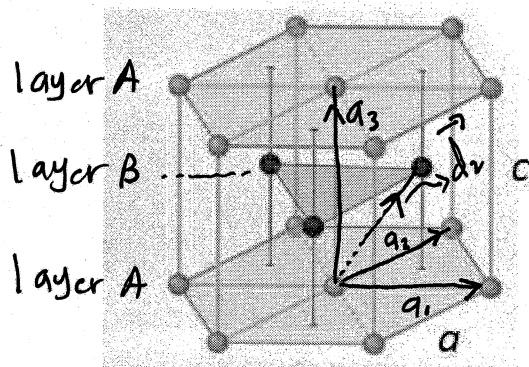
To represent all lattice points in hcp, including lattice points in layers of type B, we need two additional primitive vectors $\vec{d}_1$ and $\vec{d}_2$; where $\vec{d}_1 = (0, 0, 0)$ represents lattice points in layers of type A

$\vec{d}_2 = \left(\frac{a}{2}, \frac{a}{2\sqrt{3}}, \frac{c}{2}\right)$ represents lattice points in layers of type B

Now the position of every point in the hcp ABAB lattice is given by

$$\vec{R} = \underbrace{n_1 \vec{a}_1 + n_2 \vec{a}_2 + n_3 \vec{a}_3}_{\text{simple hexagonal}} + \vec{d}_1 + \vec{d}_2; \quad n_1, n_2, n_3 \text{ are integers}$$

↗ for hcp



now for Hexagonal-Packed structures (hcp or fcc),
we must have $|\vec{d}_2| = a$

$$\Rightarrow \frac{a^2}{4} + \frac{a^2}{12} + \frac{c^2}{4} = a^2$$

$$a^2 \left[\frac{1}{4} + \frac{1}{12} \right] + \frac{c^2}{4} = a^2 \quad \Rightarrow \quad \frac{a^2}{3} + \frac{c^2}{4} = a^2$$

$$\Rightarrow \frac{c^2}{4} = \frac{2}{3} a^2 \quad \Rightarrow \quad \frac{c^2}{a^2} = \frac{8}{3} \quad \Rightarrow \quad \boxed{\frac{c}{a} = \sqrt{\frac{8}{3}}}$$

⑤

Problem 2.6 Marder: Packing fraction (F)

the packing fraction (F) is the volume of atoms in a unit cell divided by the volume of the unit cell

$$F = \frac{[\# \text{ of atoms/unit cell}] [\text{volume of one atom}]}{\text{Volume of conventional unit cell}}$$

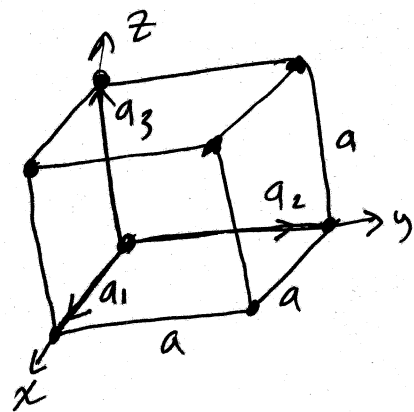
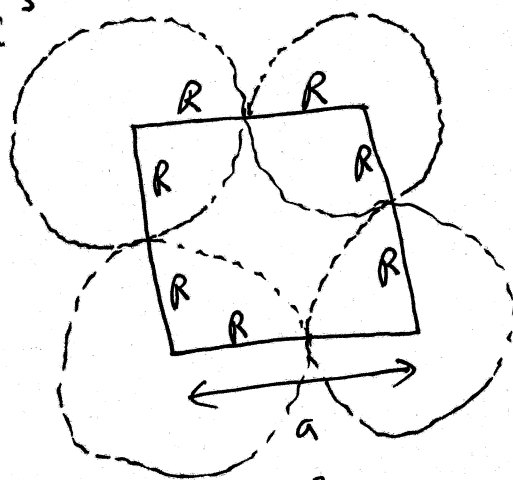
$$= \frac{Z \times \frac{4}{3} \pi R^3}{V_c} ; \begin{array}{l} R: \text{radius of the atom} \\ V_c: \text{volume of conventional unit cell} \\ Z: \# \text{ of LP/unit cell} \end{array}$$

a) simple cube (SC):

$$Z=1, V_c = a^3$$

$$2R = a$$

$$R = \frac{a}{2}$$

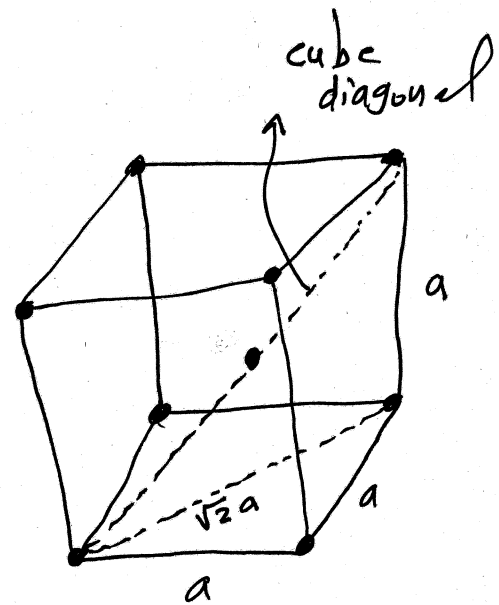
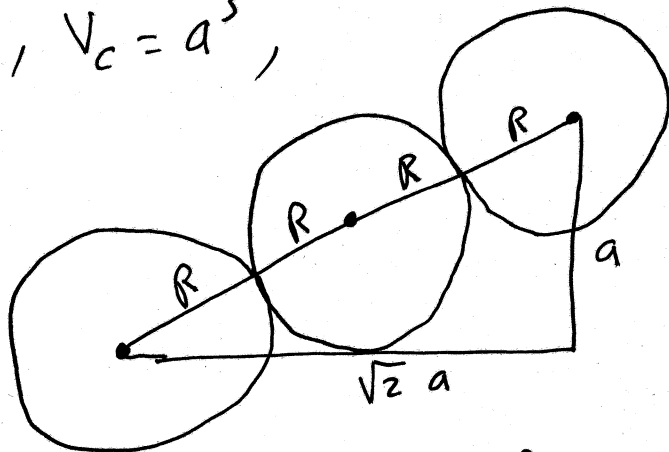


$$\Rightarrow F = \frac{1 \times \frac{4}{3} \pi \left(\frac{a}{2}\right)^3}{a^3} = \frac{\pi}{6} = 0.52$$

spheres occupy 52 % of the volume of the cube and 48 % are voids

b) Body-centered cubic (BCC):

$$Z=2, V_c = a^3,$$



$$\Rightarrow (\sqrt{2}a)^2 + a^2 = (4R)^2$$

$$\Rightarrow a = \frac{4}{\sqrt{3}} R \Rightarrow F = \frac{2 \times \frac{4}{3} \pi R^3}{\left(\frac{4}{\sqrt{3}} R\right)^3} = 0.68$$

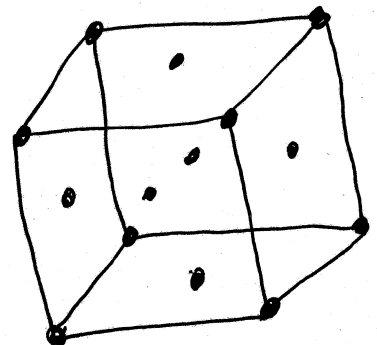
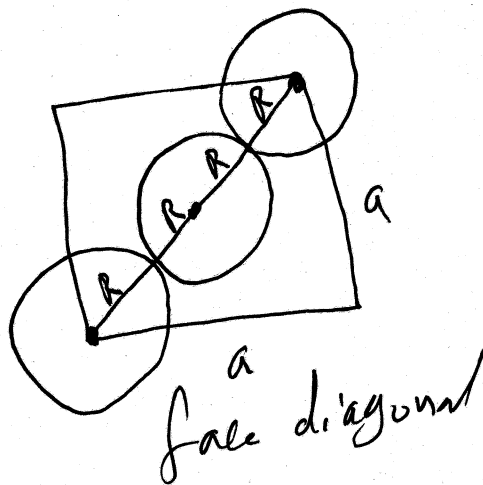
c) Face-centered cubic (FCC)

$$Z=4, V_c = a^3$$

$$(4R)^2 = a^2 + a^2$$

$$16R^2 = 2a^2$$

$$\Rightarrow a = \frac{4}{\sqrt{2}} R$$



$$\Rightarrow F = \frac{4 \times \frac{4}{3} \pi R^3}{\left(\frac{4}{\sqrt{2}} R\right)^3} = 0.74$$

d) Hexagonal-closed packed (hcp)

it is simple hexagonal with 2 LP/unit cell

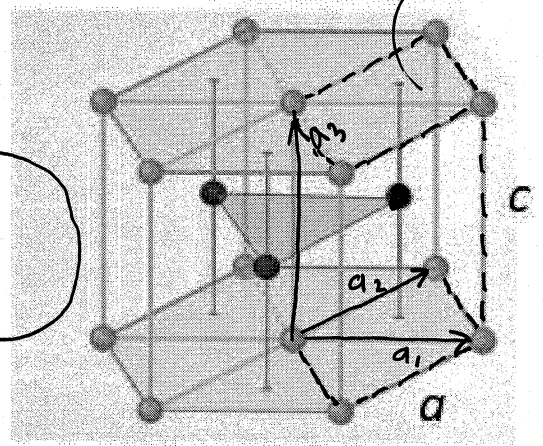
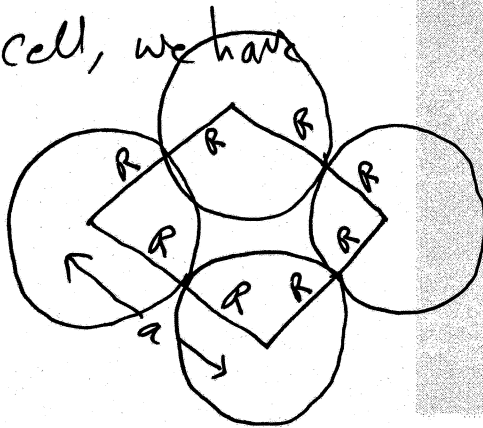
$$\vec{a}_1 = a(1, 0, 0), \vec{a}_2 = a\left(\frac{1}{2}, \frac{\sqrt{3}}{2}, 0\right); \vec{a}_3 = (0, 0, c) = a\left(0, 0, \sqrt{\frac{8}{3}}\right)$$

$$Z = 2$$

$$V_c = |\vec{a}_1 \cdot \vec{a}_2 \times \vec{a}_3| \quad ; \quad \vec{a}_2 \times \vec{a}_3 = a^2(\sqrt{2}, -\sqrt{\frac{2}{3}}, 0)$$

$$= |a(1, 0, 0) \cdot a^2(\sqrt{2}, -\sqrt{\frac{2}{3}}, 0)| = \sqrt{2} a^3$$

from base of unit cell, we have



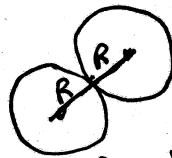
$$2R = a$$

$$\Rightarrow F = \frac{2 \times \frac{4}{3} \pi R^3}{\sqrt{2} (2R)^3} = \frac{\pi}{3\sqrt{2}} = 0.74$$

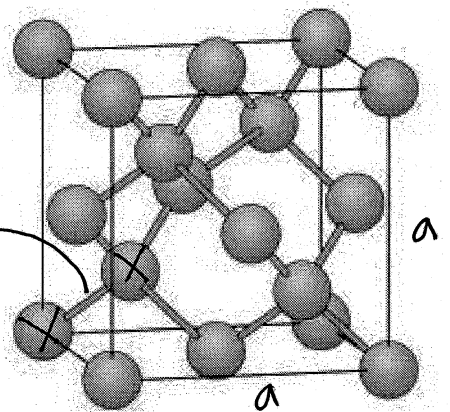
e) Diamond: $Z=8, V_c = a^3$

$$NN \text{ spacing} = \left| \left(\frac{a}{4}, \frac{a}{4}, \frac{a}{4}\right) - (0, 0, 0) \right| = \frac{\sqrt{3}}{4} a$$

$$R = \frac{NN \text{ spacing}}{2} = \frac{\sqrt{3}}{8} a$$



$$2R = \frac{\sqrt{3}}{4} a \Rightarrow a = \frac{8R}{\sqrt{3}}$$

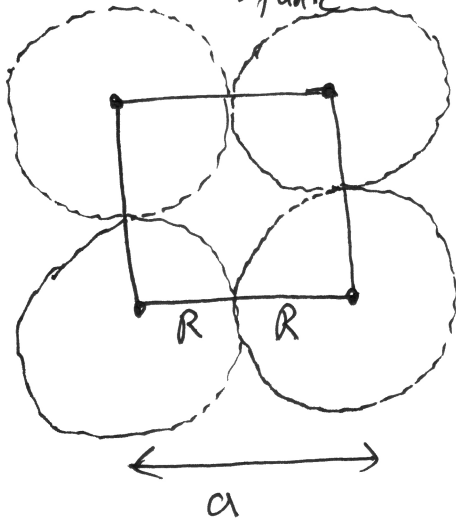


$$\Rightarrow F = \frac{8 \times \frac{4}{3} \pi R^3}{\left(\frac{8R}{\sqrt{3}}\right)^3} = \frac{\pi \sqrt{3}}{16} = 0.34$$

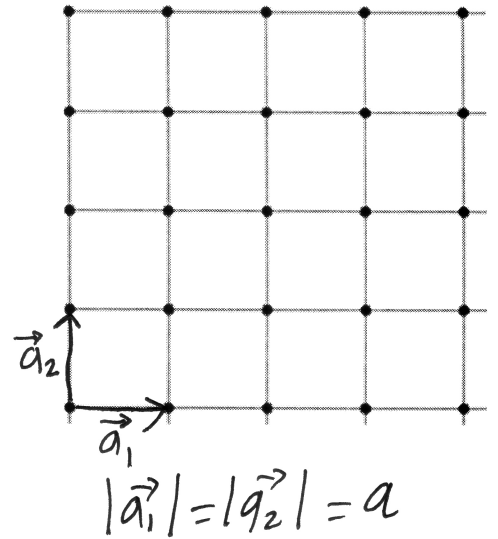
⑥ Packing fraction in 2D

a) Square lattice ; $\vec{a}_1 = a\hat{i}$, $\vec{a}_2 = a\hat{j}$

$$z=1, A_{\text{square}} = |\vec{a}_1 \times \vec{a}_2| = a^2$$



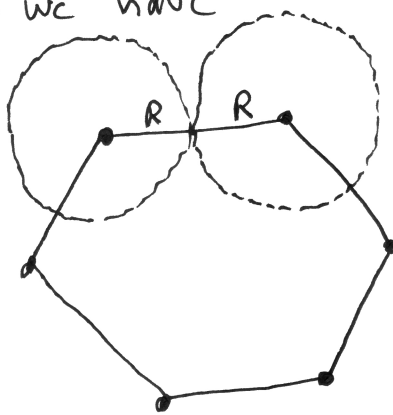
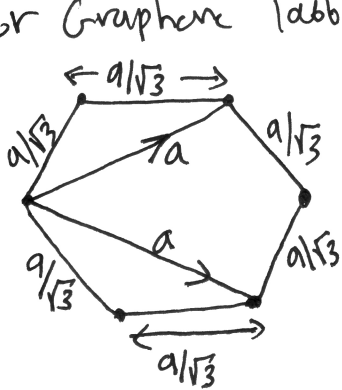
$$2R = a \Rightarrow R = \frac{a}{2}$$



$$F = \frac{z \times A_{\text{sphere}}}{A_{\text{square}}} = \frac{1 \times \pi R^2}{a^2} = \frac{1 \times \pi R^2}{(2R)^2} = \frac{\pi}{4} = 0.79$$

b) Graphene lattice (honeycomb lattice)

p. unit cell contains 2 LPS $\Rightarrow z=2$
for Graphene lattice, we have

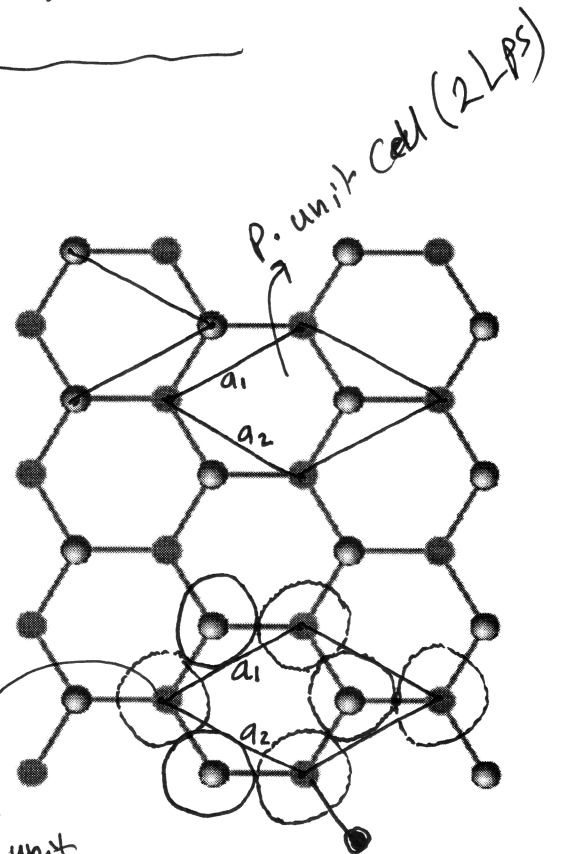


$$\Rightarrow 2R = \frac{a}{\sqrt{3}} \Rightarrow R = \frac{a}{2\sqrt{3}}$$

$$F = \frac{z \times A_{\text{sphere}}}{A_{\text{primitive cell}}} = \frac{z \times \pi R^2}{|\vec{a}_1 \times \vec{a}_2|}$$

$$= \frac{2 \times \left(\frac{a}{2\sqrt{3}}\right)^2}{\frac{\sqrt{3}}{2} a^2} = \frac{\pi}{3\sqrt{3}} = 0.6$$

each vertex (atom) of p. unit cell is shared with 4 other p. unit cells



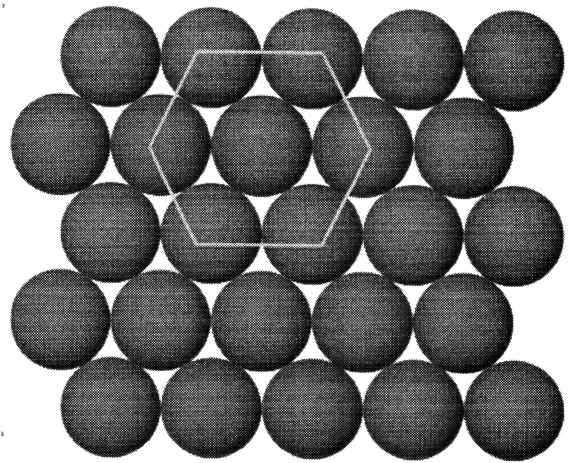
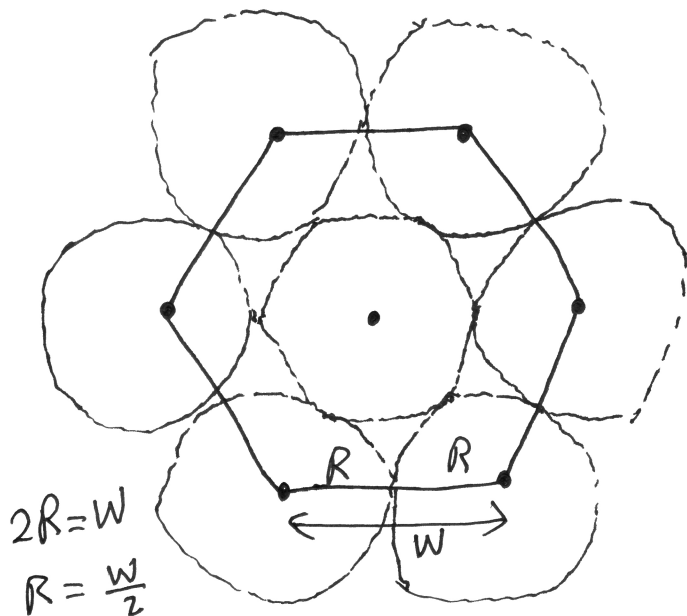
$$\vec{a}_1 = a\left(\frac{\sqrt{3}}{2}, \frac{1}{2}\right)$$

$$\vec{a}_2 = a\left(\frac{\sqrt{3}}{2}, -\frac{1}{2}\right)$$

$$|\vec{a}_1 \times \vec{a}_2| = \frac{\sqrt{3}}{2} a^2$$

$$|\vec{a}_1| = |\vec{a}_2| = a$$

c) 2D hcp lattice



for hcp lattice, there is an extra lattice point at the center of hexagon, so the coordination number is 6.

Recall that for graphene lattice, there is no lattice point at the center and the coordination number is 3.

now each sphere on the vertex of hexagon is shared with 3 hexagons and the sphere at center is not shared with others, so $Z = 6 \times \frac{1}{3} + 1 = 2 + 1 = 3$

$$\Rightarrow F = \frac{Z \times A_{\text{sphere}}}{A_{\text{hexagon}}} = \frac{3 \times \pi R^2}{A_{\text{hexagon}}}; \text{ where } A_{\text{hexagon}} \text{ is}$$

$$\text{the area of one hexagon} \Rightarrow A_{\text{hexagon}} = \frac{3}{2} \sqrt{3} W^2$$

$$F = \frac{3 \times \pi \frac{W^2}{4}}{\frac{3}{2} \sqrt{3} W^2} = \frac{\pi}{2\sqrt{3}} = 0.91, \text{ which is larger}$$

than F for graphene lattice (0.6), due to the extra lattice point at center of hexagon.